-COPY OF-NOTIFICATION Dated 24th September, 2008



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Whereas the draft rules, namely, the Hazardous Material (Management, Handling and Transboundary Movement) Rules 2007 was published by the Government of India in the Ministry of Environment and Forest vide number S.O.1676(E), dated 28th September, 2007 in the Gazette of India, Extraordinary of the same date inviting objection and suggestions from all persons likely to be affected thereby, before the expiry of the period of sixty days from the date on which copies of the Gazette containing the said notification were made available to the public;

AND WHEREAS copies of the said Gazette were made available to the public on the 28th day of September, 2007:

AND WHEREAS the objections and suggestions received within the said period from the public in respect of the said draft rules have been duly considered by the Central Government;

NOW, THEREFORE, in exercise of the powers conferred by sections 6, 8 and 25 of the Environment (Protection) Act, 1986 (29 of 1986), and in supersession of the Hazardous Wastes (Management and Handling) Rules, 1989, excepts in respect of things done or omitted to be done before such supersession, the Central Government hereby makes the following rules, namely:-

#### CHAPTER-I PRELIMINARY

- 1. Short title and commencement:- (1) These rules may be called the Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008.
- (2) They shall come into force on the date of their publication in the official Gazette.
- **2. Application:-** These rules shall apply to the handling of hazardous wastes as specified in Schedules and shall not apply to-
- (a) waste-water and exhaust gases as covered under the provisions of the Water (Prevention and Control of Pollution) Act, 1974 (6 of 1974) and the Air (Prevention and Control of Pollution) Act, 1981 (14 of 1981) and the rules made thereunder;
- (b) wastes arising out of the operation from ships beyond five kilometres of the relevant baseline as covered under the provisions of the Merchant Shipping Act, 1958 (44 of 1958) and the rules made thereunder;
- (c) radio-active wastes as covered under the provisions of the Atomic Energy Act, 1962 (33 of 1962) and the rules made thereunder:
- (d) bio-medical wastes covered under the Bio-Medical Wastes (Management and Handling) Rules, 1998 made under the Act; and
- (e) wastes covered under the Municipal Solid Wastes (Management and Handling) Rules, 2000 made under the
- 3. **Definitions:-** (1) In these rules, unless the context otherwise requires,-
- (a) "Act" means the Environment (Protection) Act, 1986 (29 of 1986);
- (b) "authorization" means permission for generation, handling, collection, reception, treatment, transport, storage, recycling, reprocessing, recovery, reuse and disposal of hazardous wastes granted under sub-rule (4) of rule 5;
- (c) "Basel Convention" is the United Nations Environment Programme Convention on the Control of Transboundary Movement of Hazardous Wastes and their Disposal;
- (d) "Central Pollution Control Board" means the Central Pollution Control Board constituted under sub-section (1) of section 3 of the Water (Prevention and Control of Pollution) Act, 1974 (6 of 1974);
- (e) "disposal" means any operation which does not lead to recycling, recovery or reuse and includes physico chemical, biological treatment, incineration and disposal in secured landfill;

- (f) "export" with its grammatical variations and cognate expressions, means taking out of India to a place outside India:
- (g) "exporter" means any person under the jurisdiction of the exporting country who exports hazardous waste including the country, which exports hazardous waste;
- (h) "environmentally sound management of hazardous wastes" means taking all steps required to ensure that the hazardous wastes are managed in a manner which shall protect health and the environment against the adverse effects which may result from such waste;
- (i) "environmentally sound technologies" means any technology approved by the Central Government from time to time;
- (j) "facility" means any establishment wherein the processes incidental to the handling, collection, reception, treatment, storage, recycling, recovery, reuse and disposal of hazardous wastes are carried out;
- (k) "Form" means a form appended to these rules;
- (l) "hazardous waste" means any waste which by reason of any of its physical, chemical, reactive, toxic, flammable, explosive or corrosive characteristics causes danger or is likely to cause danger to health or environment, whether alone or when in contact with other wastes or substances, and shall include-
  - (i) waste specified under column (3) of Schedule-I,
  - (ii) wastes having constituents specified in Schedule-II if their concentration is equal to or more than the limit indicated in the said Schedule, and
  - (iii) wastes specified in Part A or Part B of the Schedule-III in respect of import or export of such wastes in accordance with rules 12, 13 and 14 or the wastes other than those specified in Part A or Part B if they possess any of the hazardous characteristics specified in Part C of that Schedule;
- (m) "hazardous waste site" means a place of collection, reception, treatment, storage of hazardous wastes and its disposal to the environment which is approved by the competent authority;
- (n) "import" with its grammatical variations and cognate expressions, means bringing into India from a place outside India;
- (o) "Importer" means an occupier or any person who imports hazardous waste;
- (p) "manifest" means transporting document prepared and signed by the occupier or his representative authorized in accordance with the provisions of these rules.
- (q) "occupier" in relation to any factory or premises, means a person who has, control over the affairs of the factory or the premises and includes in relation to any hazardous waste the person in possession of the hazardous waste:
- (r) "operator of disposal facility" means a person who owns or operates a facility for collection, reception, treatment, storage or disposal of hazardous wastes;
- (s) "recycler or reprocessor or actual user" means an occupier who procures and processes hazardous waste for recycling or recovery or re-use;
- (t) "recycling" means reclamation and reprocessing of hazardous waste in an environmentally sound manner for the original purpose or for other purposes;
- (u) "reuse" means use of hazardous waste for the purpose of its original use or other use;
- (v) "recovery" means any operation in the recycling activity wherein specific materials are recovered;
- (w) "Schedule" means a Schedule appended to these rules;
- (x) "State Government" in relation to a Union territory means, the Administrator thereof appointed under article 239 of the Constitution;
- (y) "State Pollution Control Board means the State Pollution Control Board or the Pollution Control Committee constituted under sub-section (1) of section 4 of the Water(Prevention and Control of Pollution) Act, 1974 (6 of 1974);

(z) "storage" means storing any hazardous waste for a temporary period, at the end of which such waste is processed or disposed of;

- (za) "transboundary movement" means any movement of hazardous wastes from an area under the jurisdiction of one country to or through an area under the jurisdiction of another country or to or through an area not under the jurisdiction of any country, provided at least two countries are involved in the movement;
- (zb) "transport" means off-site movement of hazardous wastes by air, rail, road or water;
- (zc) "transporter" means a person engaged in the off-site transportation of hazardous waste by air, rail, road or water;
- (zd) "treatment" means a method, technique or process, designed to modify the physical, chemical or biological characteristics or composition of any hazardous waste so as to reduce its potential to cause harm;
- (ze) "used oil" means any oil-
  - (a) derived from crude oil or mixtures containing synthetic oil including used engine oil, gear oil, hydraulic oil, turbine oil, compressor oil, industrial gear oil, heat transfer oil, transformer oil, spent oil and their tank bottom sludges; and
  - (b) suitable for reprocessing, if it meets the specification laid down in Part-A of Schedule-V but does not include waste oil:
- (zf) "waste oil" means any oil which includes spills of crude oil, emulsions, tank bottom sludge and slop oil generated from petroleum refineries, installations or ships and can be used as fuel in furnaces for energy recovery, if it meets the specifications laid down in Part-B of Schedule-5 either as such or after reprocessing.

Words and expressions used in these rules and not defined but defined in the Act shall have the meanings respectively assigned to them in the Act.

# CHAPTER II PROCEDURE FOR HANDLING HAZARDOUS WASTES

#### 4. Responsibilities of the occupier for handling of hazardous wastes.-

- (1) The occupier shall be responsible for safe and environmentally sound handling of hazardous wastes generated in his establishment.
- (2) The hazardous wastes generated in the establishment of an occupier shall be sent or sold to a recycler or re-processor or re-user registered or authorized under these rules or shall be disposed of in an authorized disposal facility.
- (3) The hazardous wastes transported from an occupier's establishment to a recycler for recycling or reuse or reprocessing or to an authorized facility for disposal shall be transported in accordance with the provisions of these rules.
- (4) The occupier or any other person acting on his behalf who intends to get his hazardous wastes treated and disposed of by the operator of a Treatment, Storage and Disposal Facility shall give to the operator of a facility, such information as may be determined by the State Pollution Control Board.
- (5) The occupier shall take all adequate steps while handling hazardous wastes to:
  - (i) contain contaminants and prevent accidents and limit their consequences on human beings and the environment; and
  - (ii) provide persons working on the site with the training, equipment and the information necessary to ensure their safety.

#### 5. Grant of authorization for handling hazardous wastes.

(1) Every person who is engaged in generation, processing, treatment, package, storage, transportation, use, collection, destruction, conversion, offering for sale, transfer or the like of the hazardous waste shall require to obtain an authorization from the State Pollution Control Board.

(2) The hazardous waste shall be collected, treated, re-cycled, re-processed, stored or disposed of only in such facilities as may be authorized by the State Pollution Control Board for the purpose.

(3) Every person engaged in generation, processing, treatment, package, storage, transportation, use, collection, destruction, conversion, offering for sale, transfer or the like of the hazardous waste or occupier of the facility shall make an application in **Form 1** to the State Pollution Control Board for authorization within a period of sixty days from the date of commencement of these rules:

Provided that any person authorized under the provisions of the Hazardous Waste (Management and Handling) Rules, 1989, prior to the date of coming into force of these rules, shall not require to make an application for authorization till the period of expiry of such authorization.

- (4) On receipt of the application complete in all respects for the authorization, the State Pollution Control Board may, after such inquiry as it considers necessary and on being satisfied that the applicant possesses appropriate facilities, technical capabilities and equipment to handle hazardous waste safely, grant within a period of one hundred and twenty days an authorization in **Form 2** to the applicant which shall be valid for a period of five years and shall be subject to such conditions as may be laid down therein.
- (5) The State Pollution Control Board may after giving reasonable opportunity of being heard to the applicant refuse to grant any authorization.
- (6) Every person authorized under these rules shall maintain the record of hazardous wastes handled by him in **Form 3** and prepare and submit to the State Pollution Control Board, an annual return containing the details specified in **Form 4** on or before the 30<sup>th</sup> day of June following to the financial year to which that return relates.
- (7) An application for the renewal of an authorization shall be made in **Form 1**, before its expiry and the State Pollution Control Board may renew the authorization after examining each case on merit subject to the condition that there has been no report of violation of the provisions of the Act or the rules made thereunder or conditions specified in the authorization.
- (8) The occupier or operator of the facility shall take all the steps, wherever required, for reduction and prevention of the waste generated or for recycling or reuse and comply the conditions specified in the authorization.
- (9) The State Pollution Control Board shall maintain a register containing particulars of the conditions imposed under these rules for management of hazardous waste, and it shall be open for inspection during office hours to any person interested or affected or a person authorized by him on his behalf.

#### 6. Power to suspend or cancel an authorization.

- (1) The State Pollution Control Board, may, if in its opinion the holder of the authorization has failed to comply with any of the conditions of the authorization or with any provisions of the Act or these rules and after giving him a reasonable opportunity of being heard and after recording reasons thereof in writing cancel or suspend the authorization issued under rule-4 for such period as it considers necessary in the public interest.
- (2) Upon suspension or cancellation of the authorization the State Pollution Control Board may give directions to the person whose authorization has been suspended or cancelled for the safe storage of the hazardous wastes, and such person shall comply with such directions.

#### 7. Storage of Hazardous Waste.

(1) The occupiers, recyclers, re-processors, re-users, and operators of facilities may store the hazardous wastes for a period not exceeding ninety days and shall maintain a record of sale, transfer, storage, recycling and reprocessing of such wastes and Crake these records available for inspection:

Provided that the State Pollution Control Board may extend the said period in following cases, namely:-

- (i) small generators up to ten tones per annum;
- (ii) recyclers, re-processors and facility operators up to six months of their annual capacity;

- (iii) generators who do not have access to any Treatment, Storage, Disposal Facility in the concerned State; or
- (iv) the waste which needs to be specifically stored for development of a process for its recycling, reuse.

# CHAPTER-III PROCEDURE FOR RECYCLING, REPROCESSING OR REUSE OF HAZARDOUS WASTES

#### 8. Procedure for grant of registration:

- (1) every person desirous of recycling or reprocessing the hazardous waste specified in Schedule-IV may make an application in **Form 5** accompanied with a copy each of the following documents for the grant or renewal of the registration:-
  - (a) consent to establish granted by the State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974 (25 of 1974) and the Air (Prevention and Control of Pollution) Act, 1981 (21 of 1981);
  - (b) certificate of registration issued by the District Industries Centre or any other government agency authorised in this regard;
  - (c) proof of installed capacity of plant and machinery issued by the District Industries Centre or any other government agency authorised in this behalf; and
  - (d) in case of renewal, certificate of compliance of effluent, emission standards and treatment and disposal of hazardous wastes, as applicable, from the State Pollution Control Board or the Concerned Zonal Office of Central Pollution Control Board.
- (2) The Central Pollution Control Board, on being satisfied that the applicant is utilizing environmentally sound technologies and possesses adequate technical capabilities, requisite facilities, and equipment to recycle, reprocess or reuse hazardous wastes, may grant registration to such applicants stipulating therein necessary conditions for carrying out safe operations in the authorized place only.
- (3) The Central Pollution Control Board shall dispose of the application for registration within a period of one hundred twenty days from the date of the receipt of such application complete in all respects.
- (4) The registration, issued under sub-rule (2) shall be valid for a period of five years from the date of its issue, unless the operation is discontinued by the unit or the registration is suspended or cancelled by the Central Pollution Control Board.
- (5) The Central Pollution Control Board may cancel or suspend the registration granted under these rules, if it has reasons to believe that the recycler or re-processor has failed to comply with any of the conditions of the registration, or with any provision of the Act or rules made thereunder.
- (6) The Central Pollution Control Board may after giving a reasonable opportunity of being heard to the applicant, by order, refuse to grant or renew the registration.
- (7) The recycler or re-processor shall maintain records of hazardous wastes purchased and processed and shall file an annual return of its activities of previous year in **Form 6** to the State Pollution Control Board, on or before the 30<sup>th</sup> day of June of every year.

#### 9. Conditions for sale or transfer of Hazardous Wastes for recycling.-

The occupier generating the hazardous wastes specified in Schedule-IV may sell it only to the recycler having a valid registration from the Central Pollution Control Board for recycling or recovery.

#### 10. Standards for recycling.-

The Central Government and Central Pollution Control Board may issue the guidelines for standards of performance for recycling processes from time to time.

#### 11. Utilization of hazardous wastes.-

The utilisation of hazardous wastes as a supplementary resource or for energy recovery, or after processing shall be carried out by the units only after obtaining approval from the Central Pollution Control Board.

## CHAPTER IV IMPORT AND EXPORT OF HAZARDOUS WASTES

#### 12. Import and export (transboundary movement) of hazardous wastes.-

The Ministry of Environment and Forests shall be the nodal Ministry to deal with the trans-boundary movement of the hazardous wastes and to grant permission for transit of the hazardous wastes through any part of India.

#### 13. Import and export of hazardous wastes.-

- (1) No import of the hazardous wastes from any country to India for disposal shall be permitted.
- (2) The import of Hazardous Waste from any country shall be permitted only for the recycling or recovery or reuse.
- (3) The export of hazardous wastes from India may be allowed to an actual user of the wastes or operator of a disposal facility with the Prior Informed Consent bf the importing country to ensure environmentally sound management of the hazardous waste in question.
- (4) No import or export of the hazardous wastes specified in Schedule —VI shall be permitted.

#### 14. Import or export of Hazardous Waste for recycling, recovery and reuses.-

- (1) The import and export of the hazardous wastes specified in Schedule-III, shall be regulated in accordance with the conditions laid down in the said schedule:
- (2) Subject to the provisions contained in sub-rule (1), -
  - (i) the import or export of the Hazardous wastes specified in Part A of Schedule-III shall require Prior Informed Consent of the country from where it is imported or exported to, and shall require the license from the Directorate General of foreign Trade and the prior written permission of the Central Government;
  - (ii) the import of the hazardous wastes specified in Part B of Schedule III shall not require Prior Informed Consent of the country from where it is imported;
  - (iii) the import and export of the hazardous wastes not specified in Part A and Part B of Schedule III but having the hazardous characteristics outlined in Part C of the said Schedule shall require the prior written permission of the Central Government, before it is imported into or exported from India, as the case may be.

#### 15. Procedure for export of Hazardous Wastes from India.-

- (1) Any person intending to export hazardous wastes specified in Schedule-III shall apply in **Form 7** and **Form 8** along with full cover insurance policy for consignment to the Central Government for the proposed transboundary movement of the hazardous wastes together with the Prior Informed Consent in writing from the importing country.
- (2) On receipt of such application, the Central Government may give a 'No Objection Certificate' for the proposed export within a period of sixty days from the date of submission of the application and may impose conditions as it may consider necessary.
- (3) The Central Government, shall forward a copy of the 'No Objection Certificate' granted under sub-rule (2), to the Central Pollution Control Board, the concerned State Pollution Control Board and the concerned Port and Customs authorities for ensuring compliance of the conditions, if any, of the export and to take appropriate steps for the safe handling of the waste shipment.
- (4) The exporter shall ensure that no consignment is shipped before the 'No Objection Certificate' is received from the importing country.
- (5) The exporter shall also ensure that the shipment is accompanied with the Movement Document in Form 9.
- (6) The exporter shall inform the Ministry of Environment and Forest upon completion of the trans-boundary movement.
- (7) The exporter of the hazardous wastes shall maintain the records of the hazardous wastes exported by him in **Form 10** and the record so maintained shall be available for inspection.

#### 16. Procedure for import of Hazardous Waste-

- (1) A person intending to import or transit for trans-boundary movement of hazardous wastes specified in Schedule-III shall apply in **Form 7** and **Form 8** to the Central Government of the proposed import wherever applicable, together with the Prior Informed Consent, which ever applicable and shall send a copy of the application, simultaneously, to the concerned State Pollution Control Board to enable them to send their comments and observations, if any, to the Ministry of Environment and Forests within a period of thirty days.
- (2) On receipt of the application in complete, the Ministry of Environment and Forests shall examine the application considering the comments and observations, if any, received from the State Pollution Control Boards, and may grant the permission for import within a period of sixty days subject to the condition that the importer has-
  - (i) the environmentally sound recycling, recovery or reuse facilities;
  - (ii) adequate facilities and arrangement for treatment and disposal of wastes generated; and
  - (iii) a valid registration from the Central Pollution Control Board and a proof of being an actual user, if required under these rules.
- (3) The Ministry of Environment and Forests shall forward a copy of the permission granted under sub-rule (2) to the Central Pollution Control Board, the concerned State Pollution Control Board and the concerned Port and Customs authorities for ensuring compliance of the conditions of imports and safe handling of the hazardous waste
- (4) The Ministry of Environment and Forests shall communicate the permission to the importer.
- (5) The Port and Customs authorities shall ensure that shipment is accompanied by the Movement Document in **Form 9** and the test report of analysis of the hazardous waste consignment in question, from a laboratory accredited by the exporting country.
- (6) The Customs authority shall collect three randomly drawn samples of the consignment (prior to clearing the consignment as per the provisions laid down under the Customs Act, 1962) for analysis and retain the report for a period of two years, in order to ensure that in the event of any dispute, as to whether the consignment conforms or not to the declaration made in the application and Movement Document.
- (7) The importer of the hazardous waste shall maintain records of the hazardous waste imported by him in **Form 10** and the record so maintained shall be available for inspection.
- (8) The importer shall also inform the concerned State Pollution Control Board and the Central Pollution Control Board, the date and time of the arrival of the consignment of the hazardous waste ten days in advance.

#### 17. Illegal Traffic.-

- (1) The export and import of hazardous wastes from and into India shall be deemed illegal if-
  - (i) it is without permission of the Central Government in accordance with these rules, or
  - (ii) the permission has been obtained through falsification, mis-representation or fraud; or
  - (iii) it does not conform to the shipping details provided in the movement documents; or
  - (iv) it results in deliberate disposal (i.e., dumping) of hazardous wastes in contravention of the Basel Convention and of general principles of International or National Law.
- (2). In case of illegal import of the hazardous wastes, the importer shall re-export the waste in question at his cost within a period of ninety days from the date of its arrival into India and its implementation will be ensured by the concerned State Pollution Control Board.

#### CHAPTER-V TREATMENT, STORAGE AND DISPOSAL FACILITY FOR HAZARDOUS WASTES

#### 18. Treatment, Storage and Disposal-Facility for hazardous wastes.-

- (1) The State Government, occupier, operator of a facility or any association of occupiers shall individually or jointly or severally be responsible for, and identify sites for establishing the facility for treatment, storage and disposal of the hazardous wastes in the State.
- (2) The operator of common facility or occupier of a captive facility, shall design and set up the Treatment, Storage and Disposal Facility as per technical guidelines issued by the Central Pollution Control Board in this regard from time to time and shall obtain approval from the State Pollution Control Board for design and layout in this regard from time to time.

7. 31.

(3) The State Pollution Control Board shall monitor the setting up and operation of the Treatment, Storage and Disposal Facilities regularly.

- (4) The operator of the Treatment, Storage and Disposal Facility shall be responsible for safe and environmentally sound operation of the Treatment, the Storage and Disposal Facility and its closure and post closure phase, as per guidelines issued by the Central Pollution Control Board from time to time.
- (5) The operator of the Treatment, Storage and Disposal Facility shall maintain records of hazardous wastes handled by him in **Form 10.**

#### CHAPTER-VI PACKAGING, LABELLING, AND TRANSPORT OF HAZARDOUS WASTE

#### 19. Packaging and labeling.-

- (1) The occupier or operator of the Treatment, Storage and Disposal Facility or recycler shall ensure that the hazardous waste are packaged and labeled, based on the composition in a manner suitable for safe handling, storage and transport as per the guidelines issued by the Central Pollution Control Board from time to time.
- (2) The labeling and packaging shall be easily visible and be able to withstand physical conditions and climatic factors.

#### 20. Transportation of Hazardous waste.-

- (1) The transport of the hazardous wastes shall be in accordance with the provisions of these rules and the rules made by the Central Government under the Motor Vehicles Act. 1988 and other guidelines issued from time to time in this regard.
- (2) The occupier shall provide the transporter with the relevant information in **Form 11**, regarding the hazardous nature of the wastes and measures to be taken in case of an emergency and shall mark the hazardous wastes containers as per **Form 12**.
- (3) In case of transport of hazardous wastes for final disposal to a facility for treatment, storage and disposal existing in a State other than the State where the hazardous waste is generated, the occupier shall obtain 'No Objection Certificate' from the State Pollution Control Board of both the States.
- (4) In case of transportation of hazardous wastes through a State other than the State of origin or destination, the occupier shall intimate the concerned State Pollution Control Boards before he hands over the hazardous wastes to the transporter.

#### 21. Manifest system (Movement Document to be used within the country only).-

(1) The occupier shall prepare six copies of the manifest in **Form 13** comprising of colour code indicated below and all six copies shall be signed by the transporter:

Copy number with colour code	Purpose
(1)	(2)
Copy 1 (White)	To be forwarded by the occupier to the State Pollution Control Board or
	Committee.
Copy 2 (Yellow)	To be carried by the occupier after taking signature on it form the transporter and
	the rest of the four copies to be carried by the transporter.
Copy 3 (pink)	To be retained by the operator of the facility after signature.
Copy 4 (orange)	To be returned to the transporter by the operator of facility/recycler after
	accepting waste.
Copy 5 (green)	To be returned by the operator of the facility to State Pollution Control
	Board/Committee after treatment and disposal of wastes.
Copy 6 (blue)	To be returned by the operator of the facility to the occupier after treatment and
	disposal of hazardous materials/wastes.

(2) The occupier shall forward copy 1 (white) to the State Pollution Control Board, and in case the hazardous wastes is likely to be transported through any transit State, the occupier shall prepare an additional copy each for intimation to such State and, forward the same to the concerned State Pollution Control Board before he hands over the hazardous wastes to the transporter.

(3) No transporter shall accept hazardous wastes from an occupier for transport unless it is accompanied by copies 3 to 6 of the manifest.

(4) The transporter shall submit copies 3 to 6 of the manifest duly signed with date to the operator of the facility along with the waste consignment.

(5) Operator of the facility upon completion of treatment and disposal operations of the hazardous wastes shall forward copy 5 (green) to the State Pollution Control Board and copy 6 (blue) to the occupier and the copy 3 (pink) shall be retained by the operator of the facility.

#### CHAPTER VII MISCELLANIOUS

#### 22. Records and returns.-

- (1) The occupier generating hazardous wastes and operator of the facility for disposal of hazardous waste shall maintain records of such operations in **Form 3**.
- (2) The occupier and operator of a facility shall send annual returns to the State Pollution Control Board in **Form 4**.
- (3) The State Pollution Control Board shall prepare an inventory of the hazardous wastes within its jurisdiction and compile other related information like recycling of the hazardous wastes and treatment and disposal of the hazardous wastes based on the returns filed by respective occupier and operator of the facility.
- **23. Responsibility of Authorities.** The Authority specified in column 2 of the Schedule VII shall perform the duties as specified in column 3 of the Schedule subject to the provisions of these rules.
- **24. Accident reporting and follow-up**.- Where an accident occurs at the facility or on a hazardous waste site or during transportation of the hazardous waste, the occupier or operator of the facility or the transporter, as the case may be, shall report immediately to the State Pollution Control Board about the accident in **Form14.**

### 25. Liability of occupier, transporter, operator of a facility and importer.-

- (1) The occupier, importer, transporter and operator of the facility shall be liable for all damages caused to the environment or third party due to improper handling of the hazardous wastes or disposal of the hazardous wastes.
- (2) The occupier and the operator of the facility shall be liable to pay financial penalties as levied for any violation of the provisions under these rules by the State Pollution Control Board with the prior approval of the Central Pollution Control Board.

#### 26. Appeal.-

- (1) Any person aggrieved by an order of suspension or cancellation or refusal of authorization or its renewal passed by the State Pollution Control Board, may, within a period of thirty days from the date on which the order is communicated to him, prefer an appeal in **Form 15** to the Appellate Authority comprising of the Environment Secretary of the State.
- (2) Any person aggrieved by an order of suspension or cancellation or refusal of registration or its renewal passed by the Central Pollution Control Board, may, within a period of thirty days from the date on which the order is communicated to him, prefer an appeal in **Form 15** to the Appellate Authority comprising of the Secretary, to the Government of India in the Ministry of Environment and Forests.
- (3) The Appellate Authority may entertain the appeal after the expiry of the said period of thirty days if it is satisfied that the appellant was prevented by sufficient cause from filing the appeal in time.
- (4) Every appeal filed under this rule shall be disposed of within a period of sixty days from the date of its filing.

#### Schedule I |See rules 3 (1)|

#### List of processes generating hazardous wastes

S.No.	Processes	Hazardous Waste *
1.	Petrochemical processes and	1.1 Furnace/reactor residue and debris
	pyrolytic operations	1.2 Tarry residues
		1.3 Oily sludge emulsion
		1.4 Organic residues
		1.5 Residues from alkali wash of fuels
		1.6 Still bottoms from distillation process
		1.7 Spent catalyst and molecular sieves
		1.8 Slop oil from wastewater

S.No.	Processes	Hazardous Waste *
2.	Drilling operation for oil and	2.1 Drill cuttings containing oil
	gas production	2.2 Sludge containing oil
		2.3 Drilling mud and other drilling wastes
3.	Cleaning, emptying and	3.1 Oil-containing cargo residue, washing water and sludge
	maintenance of petroleum oil storage tanks including ships	3.2 Chemical-containing cargo residue and sludge 3.3 Sludge and filters contaminated with oil
	storage tanks including simps	3.4 Ballast water containing oil from ships.
4.	Petroleum refining/	4.1 Oily sludge/emulsion
	re-processing of used	4.2 Spent catalyst
	oil/recycling of waste oil	4.3 Slop oil
		4.4 Organic residues from process
5.	To decadate 1 and making a continuo	4.5 Spent clay containing oil
5.	Industrial operations using mineral/synthetic oil as lubricant	5.1 Used/spent oil 5.2 Wastes/residues containing oil
	in hydraulic system or other	wastes/residues containing on
	applications	
6.	Secondary production and/or	6.1 Sludge and filter press cake arising out of production of Zinc
	industrial use of zinc	Sulphate and other Zinc Compounds.
		6.2 Zinc fines/dust/ash/skimmings (dispersible form)
		6.3 Other residues from processing of zinc ash/ skimmings
7.	Primary production of zinc/	6.4 Flue gas dust and other particulates 7.1 Flue gas dust from roasting
ļ	lead/copper and other non-ferrous	7.1 Price gas dust from roasting 7.2 Process residues
	metals except aluminium	7.3 Arsenic-bearing sludge
	_	7.4 Non ferrous metal bearing sludge and residue.
		7.5 Sludge from scrubbers
8.	Secondary production copper	8.1 Spent electrolytic solutions
		8.2 Sludges and filter cakes 8.3 Flue gas dust and other particulates
9.	Secondary production of lead	9.1 Lead bearing residues
]	production of load	9.2 Lead ash/particulate from flue gas
10.	Production and/or industrial use	10.1 Residues containing cadmium and arsenic
	of cadmium and arsenic and their	
1.1	compounds	11.1. (1-1
11.	Production of primary and secondary aluminium	11.1. Sludges from off-gas treatment 11.2. Cathode residues including pot lining wastes
	secondary arammam	11.3. Tar containing wastes
		11.4. Flue gas dust and other particulates
		11.5. Wastes from treatment of salt slags and black drosses
12.	Metal surface treatment, such	12.1 Acid residues
	as etching, staining, polishing,	12.2 Alkali residues
	galvanising, cleaning, degreasing, plating, etc.	12.3 Spent bath/sludge containing sulphide, cyanide and toxic metals
	degreasing, planing, etc.	12.4 Sludge from bath containing organic solvents
		12.5 Phosphate sludge
		12.6 Sludge from staining bath
		12.7 Copper etching residues
1.2	Draduation of increased at all	12.8 Plating metal sludge
13.	Production of iron and steel including other ferrous alloys	13.1 Sludge from acid recovery unit 13.2 Benzol acid sludge
	(electric furnaces; steel rolling and	13.3 Decanter tank tar sludge
	finishing mills; Coke oven and by	13.4 Tar storage tank residue
	product plant)	
14.	Hardening of steel	14.1 Cyanide-, nitrate-, or nitrite-containing sludge
1.5	Duadration of1	14.2 Spent hardening salt
15.	Production of asbestos or asbestos-containing materials	15.1 Asbestos-containing residues 15.2 Discarded asbestos
	aspestos-contaming materials	15.2 Discarded assessos 15.3 Dust/particulates from exhaust gas treatment.
16.	Production of caustic sods and	16.1 Mercury bearing sludge
	chlorine	16.2 Residue/sludges and filter cakes
		16.3 Brine sludge containing mercury
17.	Production of mineral acids	17.1 Residues, dusts or filter cakes
10	Duo desetion of witnesses 1	17.2 Spent catalyst
18.	Production of nitrogenous and complex fertilizers	18.1 Spent catalyst 18.2 Spent carbon
	complex leftilizers	18.3 Sludge/residue containing arsenic
		18.4 Chromium sludge from water cooling tower

S.No.	Processes	Hazaı	dous Waste *
19.	Production of phenol	19.1	Residue/sludge containing phenol
20.	Production and/or industrial	20.1	Contaminated aromatic, aliphatic or napthenic
	use of solvents		solvents may or may not be fit for reuse.
		20.2	Spent solvents
			Distillation residues
21.	Production and/or industrial	21.1	Process wastes, residues & sludges
	use of paints, pigments lacquers,	21.2	Fillers residues
	varnishes, plastic		
0.0	and inks	00.1	
22.	Production of plastic raw	22.1	Residues of additives used in plastics manufacture like
	materials	00.0	dyestuffs, stabilizers, flame retardants, etc.
		22.2 22.3	Residues and waste of platicisers Residues from vinylchloride monomer production
		22.3	Residues from acrylonitrile production
		22.5	Non-polymerised residues
23.	Production and/or industrial use	23.1	Wastes/residues (not made with vegetable or animal
20.	of glues, cements, adhesive and	20.1	materials)
	resins		
24	Production of canvas and textiles	24.1	Chemical residues
25.	Industrial production and	25.1	Chemical residues
	formulation of wood preservatives	25.2	Residues from wood alkali bath
26.	Production or industrial use of	26.1	Process waste sludge/residues containing acid or other
	synthetic dyes, dye-intermediates		toxic metals or organic complexes
	and pigments	26.2	Dust from air filtration system
27.	Production of organo-silicone	27.1	process residues
0.0	Compounds  Description (formalistics of	00.1	Durance Desidence and moster
28.	Production/formulation of	28.1 28.2	Process Residues and wastes
	drugs/pharmaceuticals & health care product	28.3	Spent catalyst/spent carbon Off specification products
	nearm care product	28.4	Date-expired, discarded and off-specification
		20.4	drugs/medicines
		28.5	Spent organic solvents
29.	Production, and formulation of	29.1	Process wastes/residues
	pesticides including stock-piles	29.2	Chemical sludge containing residue pesticides
		29.3	Date-expired and off-specification pesticides
30.	Leather tanneries	30.1	Chromium bearing residues and sludges
31.	Electronic Industry	31.1	Process residues and wastes
		31.2	Spent etching chemicals and solvents
32.	Pulp & Paper Industry	32.1	Spent chemicals
		32.2	Corrosive wastes arising from use of strong acid and
		20.2	bases
		32.3	Process sludge containing adsorbable organic halides
33.	Disposal of barrels containers used	33.1	[AOx] Chemical-containing residue arising from
55.	for handling of hazardous wastes	55.1	decontamination.
	chemicals	33.2	Sludge from treatment of waste water arising out of
			cleaning/disposal of barrels/containers
		33.3	Discarded containers/barrels/liners contaminated
		<u> </u>	with hazardous wastes/chemicals
34.	Purification and treatment of	34.1	Flue gas cleaning residue
	exhaust air, water & waste water	34.2	Spent ion exchange resin containing toxic metals
	from the processes in this schedule	34.3	Chemical sludge from waste water treatment
	and common industrial effluent	34.4	Oil and grease skimming residues
	treatment	34.5	Chromium sludge from cooling water
35.	plants (CETP's)  Purification process for organic	35.1	Filters and filter material which have organic liquids in
55.	compounds/solvents	33.1	them, e.g. mineral oil, synthetic oil and organic
	compounds/ solvents		chlorine compounds
		35.2	Spent catalyst
		35.3	Spent carbon
36.	Hazardous waste treatment	36.1	Sludge from wet scrubbers
	processes, e.g. incineration,	36.2	Ash from incineration of hazardous waste, flue gas
	distillation, separation and		cleaning residues
	concentration techniques	36.3	Spent acid from batteries
		36.4	Distillation residues from contaminated organic
			solvents

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\* The inclusion of wastes contained in this Schedule does not preclude the use of Schedule 2 to demonstrate that the waste is not hazardous. In case of dispute, the matter would be referred to the Technical Review Committee constituted by MoEF,

**Note:** The high volume low effect wastes such as fly ash, phosphogypsuin, red mud, slags from pyrometallurgical operations, mine tailings and ore beneficiation rejects are excluded from the category of hazardous wastes. Separate quidelines on the management of these wastes shall be issued by CPCB.

#### Schedule II

[See rule 3(1)]

#### List of Waste Constituents with Concentration Limits\*

#### Class A

Concentration limit: □ 50 mg/kg

- A1 Antimony and antimony compounds
- A2 Arsenic and arsenic compounds
- A3 Beryllium and beryllium compounds
- A4 Cadmium and cadmium compounds
- A5 Chromium (VI) compounds
- A6 Mercury and mercury compounds
- A7 Selenium and selenium compounds
- A8 Tellurium and tellurium compounds
- A9 Thallium and thallium compounds
- A10 Inorganic cyanide compounds
- A11 Metal carbonyls
- A12 Napthalene
- A13 Anthracene
- A14 Phenanthrene
- A15 Chrysene, benzo (a) anthracene, fluoranthene, benzo (a) pyrene, benzo (K) fluoranthene, indeno (1, 2, 3-cd) pyrene and benzo (ghi) perylene
- A16 halogenated compounds of aromatic rings, e.g. polychloroted biphenyls, polychloroterphenyls and their derivatives
- A17 Halogenated aromatic compounds
- A18 Benzene
- A19 Organo-chlorine pesticides
- A20 Organo-tin Compounds

#### Class B

Concentration limit: □ 5, 000 mg/kg

- B1 Chromium (III) compounds
- B2 Cobalt compounds
- B3 Copper compounds
- B4 Lead and lead compounds
- B5 Molybdenum compounds
- B6 Nickel compounds
- B7 Inorganic Tin compounds
- B8 Vanadium compounds
- B9 Tungsten compounds
- B10 Silver compounds
- B11 Halogenated aliphatic compounds
- B12 Organo phosphorus compounds
- B13 Organic peroxides
- B14 Organic nitro-and nitroso-compounds
- B15 Organic azo-and azooxy compounds
- B16 Nitrites
- B17 Amines
- B18 (Iso-and thio-) cyanates
- B19 Phenol and phenolic compounds
- B20 Mercaptans
- B21 Asbestos
- B22 Halogen-silanes
- B23 Hydrazine (s)

- B24 Flourine
- B25 Chlorine
- B26 Bromine
- B27 White and red phosphorus
- B28 Ferro-silicate and alloys
- B29 Manganese-silicate
- B30 Halogen-containing compounds which produce acidic vapours on contact with humid air or water, e.g. silicon tetrachloride, aluminium chloride, titanium tetrachloride

#### Class C

Concentration limit; □ 20, 000 mg/kg

- C1 Ammonia and ammonium compounds
- C2 Inorganic peroxides
- C3 Barium compounds except barium sulphate
- C4 Fluorine compounds
- C5 Phosphate compounds except phosphates of aluminium, calcium and iron
- C6 Bromates, (hypo-bromites)
- C7 Chlorates, (hypo-chlorites)
- C8 Aromatic compounds other than those listed under Al2 to A18
- C9 Organic silicone compounds
- C10 Organic sulphur compounds
- C11 Iodates
- C12 Nitrates, nitrites
- C13 Sulphides
- C14 Zinc compounds
- C15 Salts of per-acids
- C16 Acid amides
- C17 Acid anhydrides

#### Class D

Concentration limit: □ 50, 000 mg/kg

- D1 Total Sulphur
- D2 Inorganic acids
- D3 Metal hydrogen sulphates
- D4 Oxides and hydroxides except those of hydrogen, carbon, silicon, iron, aluminum, titanium, manganese, magnesium, calcium
- D5 Total hydrocarbons other than those listed under Al2 to A18
- D6 Organic oxygen compounds
- D7 Organic nitrogen compounds expressed as nitrogen
- D8 Nitrides
- D9 Hydrides

#### Class E

Regardless of concentration limit, Classified as hazardous wastes if the waste exhibits any of the following Characteristics.

E1 Flammable

Flammable wastes with flash point 65.6°c or below.

E2 Explosive

Wastes which may explode under the effect of flame, heat or photochemical conditions. Any other waste of explosive materials included in the Indian Explosive Act.

E3 Corrosive

Wastes which may be corrosive, by chemical action, will cause severe damage when in contact with living tissue.

E4 Toxic

Wastes containing or contaminated with established toxic and or eco-toxic constituents.

E5 Carcinogenicity, Mutagenecity and Endocrine disruptivity

Wastes contaminated or containing established carcinogens, mutagens and endocrine disruptors.

<sup>\*</sup>Waste constituents and their concentration limits given in this list are based on erstwhile BAGA (the Netherlands Environment Protection Agency) List of Hazardous Substances. In order to decide whether specific wastes listed above is hazardous or not, following points be taken into consideration:

- (i) If a component of the waste appears in one of the five risk classes listed above (A, B, C, D or E) and the concentration of the component is equal to or more than the limit for the relevant risks class, the material is then classified as hazardous waste.
- (ii) If a chemical compound containing a hazardous constituent is present in the waste, the concentration limit does not apply to the compound, but only to the hazardous constituent itself.
- (iii) If multiple hazardous constituents from the same class are present in the waste, the concentrations are added together.
- (iv) If multiple hazardous constituents from different classes are present in the waste, the lowest concentration limit corresponding to the constituent(s) applies.
- (v) For determining the concentration of the hazardous constituents in the waste "Toxicity Characteristics Leaching Procedure (TCLP) as per ASTM-D5233-92 should be adopted.

#### Schedule III

[See rules 3(1), 14(1), 14(2) (i), (iii) and 15(1)]

#### PART A

Part A: List of Hazardous Wastes Applicable for Import with Prior Informed Consent [Annexure VIII of the **Basel Convention\***]

Basel No.	Description of Hazardous Wastes	
A1	Metal and Metal bearing wastes	
A1010	Metal wastes and waste consisting of alloys of any of the following	
	- Antimony	
	- Cadmium	
	- Tellurium	
	- Lead	
A1020	Waste having as constituents or contaminants, excluding metal wastes in massive form as listed in B1020, any of the following:	
	- Cadmium, cadmium compounds.	
	- Antimony, antimony compounds.	
	- Tellurium, tellurium compounds.	
	- Lead, lead compounds.	
A1040	Wastes having metal carbonyls as constituents	
A1050	Galvanic sludges	
A1060	Wastes Liquors from the pickling of metals.	
A1070	Leaching residues from zinc processing, dusts and sludges such as jarosite, hematite etc.,	
A1080	Waste Zinc residues not included on list B containing lead and cadmium in concentrations	
111000	sufficient to exhibit hazard characteristics indicated in Part C of Schedule-3	
A1090	Ashes from the incineration of insulated copper wire	
A1100	Dusts and residues from gas cleaning systems of copper smelters.	
A1110	Spent electrolytic solutions from copper electrorefining and. electrowinning operations	
A1120	Waste sludges, excluding anode slimes, from electrolytic purification systems in copper electrorefining and electrowinning operations.	
A1130	Spent etching solutions containing dissolved copper.	
A1150	Precious metal ash from incineration of printed circuit boards not included in list 'B'	
A1160	Waste Lead acid batteries whole or crushed.	
A1170	Unsorted waste batteries excluding mixtures of List B batteries.	
A1180	Waste Electrical and electronic assembles or scrap containing, components such as accumulators and other batteries included on list A, mercury-switches, activated glass cullets from cathode-ray tubes and other activated glass and PCB-capacitors, or contaminated with Schedule 2 constituents (e.g. cadmium, mercury, lead, polychlorinated biphenyl) to an extent that they exhibit hazard characteristics indicated in part C of this Schedule (refer B1110)	
A2	Wastes containing principally inorganic constituents, which may contain metals and organic materials	
A2010	Activated Glass cullets from cathode ray tubes and other activated glasses	
A2030	Waste catalysts but excluding such wastes specified on List B of Schedule 3	
A3	Wastes containing principally organic constituents which may contain metals and inorganic materials	
A3010	Waste from the production or processing of petroleum coke and bitumen	
A3020	Waste mineral oils unfit for their originally intended use	
A3050	Wastes from production, formulation and use of resins, latex, plasticisers, glues/adhesives excluding such wastes specified in List B (B4020)	

Basel No.	Description of Hazardous Wastes	
A3070	Waste phenol, phenol compounds including chlorophenol in the form of liquids or sludges	
A3080	Waste ethers not including those specified in List B	
A3120	Fluff: light fraction from shredding	
A3130	Waste organic phosphorus compounds	
A3140	Waste non-halogenated organic solvents but excluding such wastes specified on List B	
A3160	Waste halogenated or unhalogenated non-aqueous distillation residues arising from organic solvent recovery operations	
A3170	Waste arising from the production of aliphatic halogenated hydrocarbons (such as chloromethanes, dichloroethane, vinylchloride, vinylidene chloride, allyl chloride and epichlorhydrin)	
A4	Wastes which may contain either inorganic or organic constituents	
A4010	Wastes from the production and preparation and use of pharmaceutical products but excluding such wastes specified on List B	
A4040	Wastes from the manufacture formulation and use of wood preserving chemicals	
A4070	Waste from the production, formulation and use of inks, dyes, pigments, paints, lacquers, varnish excluding those specified in List B (B4010)	
A4080	Wastes of an explosive nature excluding such wastes specified on List B	
A4090	Waste acidic or basic solutions excluding those specified in List B (B2 120)	
A4100	Wastes from industrial pollution control devices for cleaning of industrial off-gases excluding such wastes specified on List B	
A4120	Wastes that contain consist of or are contaminated with peroxides.	
A4130	Waste packages and containers containing any of the constituents mentioned in Schedule 2 to the extent of concentration limits specified therein.	
A4140	Waste consisting of or containing off specification or out-dated chemicals containing any of the constituents mentioned in Schedule 2 to the extent of concentration limits specified therein.	
A4150	Waste chemical substances arising from research and development or teaching activities which are not identified and/or are new and whose effects on human health and/or the environment are not known	
A4160	Sent activated carbon not included on List B (B2060)	

\*This List is based on Annex VIII of the Basel Convention on Transboundary Movement of Hazardous Wastes and comprises of wastes characterized as hazardous under Article 1, paragraph 1(a) of the Convention. Inclusion of wastes on this list does not preclude the use of hazard characteristics given in Annex VIII of the Basel Convention (Part C of this Schedule) to demonstrate that the wastes are not hazardous. Certain waste categories listed in the Schedule-3 (Part-A) have been prohibited for import. Hazardous wastes in the Schedule-3 (Part-A) are restricted and cannot be allowed to be imported without permission from Ministry of Environment & Forests and DGFT license.

### PART B

# List of Hazardous Wastes applicable for Import and Export not Requiring Prior Informed Consent

### [Annex IX of the Basel Convention\*]

Basel No.	Description of Wastes	
B1	Metal and metal-bearing wastes	
B 1010	Metal and metal-alloy wastes in metallic, non-dispersible form:	
	- Precious metals (gold, silver, platinum)**	
	- Iron and steel scrap**	
	- Nickel scrap**	
	- Aluminum scrap**	
	- Zinc scrap**	
	- Tin scrap**	
	- Tungsten scrap**	
	- Molybdenum scrap**	
	- Tantalum scrap**	
	- Cobalt scrap**	
	- Bismuth scrap**	
	- Titanium scrap**	
	- Zirconium scrap**	
	- Manganese scrap **	
	- Germanium scrap**	
	- Vanadium scrap **	
	- Hafnium scrap**	

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Basel No.	Description of Wastes
	- Indium scrap**
	- Niobium scrap**
	- Rhenium scrap**
	- Gallium scrap**
	- Magnesium scrap** - Copper scrap**
	- Thorium scrap
	- Rare earths scrap
	- Chromium scrap**
B 1020	Clear, uncontaminated metal scrap, including alloys, in bulk finished form (sheet, plates,
	beams, rods, etc.), of:
	- Antimony scrap****
	- Cadmium scrap
	- Lead scrap (excluding lead acid batteries)
D 1020	- Tellurium scrap****
B 1030 B 1031	Refractory metals containing residues****  Molybdenum, tungsten, titanium, tantalum, niobium and rhenium metal and metal alloy
Б 1031	wastes in metallic dispersible form (metal powder), excluding such wastes as specified in list A
	under entry A 1050, Galvanic sludges****
B 1040	Scrap assemblies from electrical power generation not contaminated with lubricating oil, PCB
	or PCT to an extent to render them hazardous**
B 1050	Mixed non-ferrous metal, heavy fraction scrap, not containing any of the constituents
- 1010	mentioned in Schedule 2 to the extent of concentration limits specified therein**
B 1060	Waste selenium and tellurium in metallic elemental form including powder****
В 1070	Waste of copper and copper alloys in dispersible form, unless they contain any of the
B 1080	constituents mentioned in Schedule 2 to the extent of concentration limits specified therein***  Zinc ash and residues including zinc alloys residues in dispersible form unless they contain
В 1000	any of the constituents mentioned in Schedule 2 to the extent of concentration limits specified
	therein***
B 1090	Waste batteries conforming to a standard battery specification, excluding those made with lead,
	cadmium or mercury.****
B 1100	Metal bearing wastes arising from melting, smelting and refining of metals:
	- Hard Zinc Spelter**
	- Zinc-containing drosses: **
	~ Galvanizing slab zinc top dross (>90% Zn) ~ Galvanizing slab zinc bottom dross (>92% Zn)
	~ Zinc die casting dross (>85% Zn)
	~ Hot dip galvanizers slab zinc dross (batch) (>92% Zn
	~ Zinc skimmings (>90%Zn)
	- Slags from copper processing for further processing or refining containing arsenic, lead or
	cadmium***
	- Slags from precious metals processing for further- refining**
	- Wastes of refractory linings, including crucibles, originating from copper smelting
	- Aluminum skimmings (or skims) excluding salt slag** - Tantalum-bearing tin slags with less than 0.5% tin***
B 1110	Electrical and electronic assemblies
B 1110	- Electronic assemblies consisting only of metals or alloys****
	- Waste electrical and electronic assemblies scrap (including printed circuit boards) not
	containing components such as accumulators and other batteries included on list A,
	mercury-switches, glass from cathode-ray tubes and other activated glass and PCB-
	capacitors, or not contaminated with constituents such as cadmium, mercury, lead,
	polychlorinated biphenyl) or from which these have been removed, to an extent that they do
	not possess any of the constituents mentioned in Schedule 2 to the extent of concentration
	limits specified therein ****  Floatrical and electronic assemblies (including printed circuit boards electronic components)
	- Electrical and electronic assemblies (including printed circuit boards, electronic components and wires) destined for direct reuse and not for recycling or final disposal.
B 1120	Spent catalysts excluding liquids used as catalysts, containing any of:
	Transition metals, excluding waste catalysts (spent catalysts, liquid used catalysts or other
	catalysts) on list A:
	Scandium Titanium Vanadium Chromium
	Vanadium Chromium Manganese Iron
	Cobalt Nickel
	COUNT

Basel No. Description of Wastes
Copper Zinc

Basel No.	Description of Wastes		
	Copper Z	inc	
		irconium	
		folybdenum	
		antalum	
		antatum Yhenium	
	Tungsten R	nenium	
	T .1 .1 /	1	
	Lanthanaides (rare e	earth metals):	
	Lanthanum C	Cerium Cerium	
	Praseodymium N	leody	
		Curopium	
		erbium	
		Iolmium	
	5 1	hulium	
		utetium	
D 1100			
B 1130		ous metal bearing catalysts	
B 1140		g residues in solid form which contain traces of inorganic cyanides	
B 1150		alloy wastes (gold, silver, the platinum group) in a dispersible form	
B 1160	Precious-metal ash f A A 1150)	from the incineration of printed circuit boards (note the related entry on list	
B 1170		rom the incineration of photographic film	
B 1180		film containing silver halides and metallic silver	
B 1190		paper containing silver halides and metallic silver	
B 1200		ing from the manufacture of iron and steel	
B 1210	Slag arising from the dioxide and Vanadiu	ne manufacture of iron and steel including slag as a source of Titanium	
B 1220		uction, chemically stabilized, having a high iron content (above 20%) and	
D 1220		to industrial specifications mainly for construction	
D 1020		rom manufacture of iron and steel**	
B 1230	Mili scaling arising i	rom manuacture of from and steel""	
B 1240	Copper Oxide mill-so		
B2		principally inorganic constituents, which may contain metals and	
	organic materials		
B2010	Wastes from mining	operations in non-dispersible form:	
	- Natural graphite		
	<ul><li>Natural graphite</li><li>Slate wastes</li></ul>		
	<ul><li>Natural graphite</li><li>Slate wastes</li><li>Mica wastes</li></ul>	waste	
	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> </ul>		
	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> </ul>	waste	
	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> </ul>	waste e and nepheline svenite waste	
	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> </ul>	e and nepheline svenite waste  blid from excluding those used in foundry operations	
B2020	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> </ul>	e and nepheline svenite waste  blid from excluding those used in foundry operations	
B2020	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheling</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in so</li> <li>Glass wastes in non-</li> </ul>	e and nepheline svenite waste  blid from excluding those used in foundry operations -dispersible from:	
B2020	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> <li>Glass wastes in non-</li> <li>Glass Cullets an</li> </ul>	e and nepheline svenite waste  Dlid from excluding those used in foundry operations -dispersible from:  d other wastes and scrap of glass except activated glass Gullets from	
B2020	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> <li>Glass wastes in non-</li> <li>Glass Cullets an</li> </ul>	e and nepheline svenite waste  blid from excluding those used in foundry operations -dispersible from:	
B2020	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> <li>Glass wastes in non-</li> <li>Glass Cullets an</li> </ul>	e and nepheline svenite waste  clid from excluding those used in foundry operations clispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses	
	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> <li>Glass wastes in non-</li> <li>Glass Cullets an cathode ray tubes</li> <li>Ceramic wastes in n</li> </ul>	e and nepheline svenite waste  clid from excluding those used in foundry operations clispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses	
	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> <li>Glass wastes in non-</li> <li>Glass Cullets an cathode ray tubes</li> <li>Ceramic wastes in n</li> </ul>	e and nepheline svenite waste  clid from excluding those used in foundry operations clispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: scrap (metal ceramic composites)	
B2030	<ul> <li>Natural graphite</li> <li>Slate wastes</li> <li>Mica wastes</li> <li>Leucite, nepheline</li> <li>Feldspar waste</li> <li>Fluorspar waste</li> <li>Silica wastes in se</li> <li>Glass wastes in none</li> <li>Glass Cullets an cathode ray tubes</li> <li>Ceramic wastes in n</li> <li>Cermet wastes and se</li> <li>Ceramic based filt</li> </ul>	e and nepheline svenite waste  clid from excluding those used in foundry operations clispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: scrap (metal ceramic composites) ores	
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B2030	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in so Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and s - Ceramic based file Other wastes contain - Partially refined co-	e and nepheline svenite waste  clid from excluding those used in foundry operations clispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: scrap (metal ceramic composites) ores ning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings	
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B2030	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in so Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and s - Ceramic based fit Other wastes contain - Partially refined c - Waste gypsum wastes - Sulphur in solid for the control of the	e and nepheline svenite waste  Did from excluding those used in foundry operations  dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form:  scrap (metal ceramic composites) ores ning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings form roduction of calcium cyanamide (pH<9) m, calcium chlorides licon carbide).	
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B2030	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in so Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and s - Ceramic based fit Other wastes contain - Partially refined c - Waste gypsum waste - Sulphur in solid f - Limestone from p - Sodium, potassiu - Carborundum (sii) - Broken concrete - Lithium tantalum Spent activated carbore	e and nepheline svenite waste  colid from excluding those used in foundry operations  dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses  on-dispersible form:  scrap (metal ceramic composites)  ores  ning principally inorganic constituents:  alcium sulphate produced from flue gas desulphurization (FGD)  alliboard or plasterboard arising from the demolition of buildings  form  roduction of calcium cyanamide (pH<9)  m, calcium chlorides  licon carbide).  & Lillium-niobium containing glass scraps  on resulting from the treatment of potable water and processes of the food	
B2030 B2040	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in so Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and s - Ceramic based fit Other wastes contain - Partially refined c - Waste gypsum waste - Sulphur in solid f - Limestone from p - Sodium, potassiu - Carborundum (sii) - Broken concrete - Lithium tantalum Spent activated carbore	e and nepheline svenite waste  colid from excluding those used in foundry operations  dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses  on-dispersible form:  scrap (metal ceramic composites)  ores  ning principally inorganic constituents:  alcium sulphate produced from flue gas desulphurization (FGD)  alliboard or plasterboard arising from the demolition of buildings  form  roduction of calcium cyanamide (pH<9)  m, calcium chlorides  licon carbide).  & Lillium-niobium containing glass scraps	
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B2030 B2040 B2060	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in so Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and s - Ceramic based fit Other wastes contain - Partially refined c - Waste gypsum waste - Sulphur in solid f - Limestone from p - Sodium, potassiu - Carborundum (sii) - Broken concrete - Lithium tantalum Spent activated carbindustry and vitamin Calcium fluoride slu	e and nepheline svenite waste  Did from excluding those used in foundry operations  dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: scrap (metal ceramic composites) ores ning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings form roduction of calcium cyanamide (pH<9) m, calcium chlorides licon carbide).  & Lillium-niobium containing glass scraps for resulting from the treatment of potable water and processes of the food a production (note the related entry on list A A4160) dege	
B2030 B2040 B2060 B2070	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in so Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and s - Ceramic based fit Other wastes contain - Partially refined c - Waste gypsum waste - Sulphur in solid f - Limestone from p - Sodium, potassiu - Carborundum (sii) - Broken concrete - Lithium tantalum Spent activated carbindustry and vitamin Calcium fluoride slu Waste gypsum aris	e and nepheline svenite waste  colid from excluding those used in foundry operations  dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses  on-dispersible form:  scrap (metal ceramic composites)  ores  ning principally inorganic constituents:  alcium sulphate produced from flue gas desulphurization (FGD)  allboard or plasterboard arising from the demolition of buildings  orm  roduction of calcium cyanamide (pH<9)  m, calcium chlorides  licon carbide).  & Lillium-niobium containing glass scraps  on resulting from the treatment of potable water and processes of the food in production (note the related entry on list A A4160)  dge  sing from chemical industry processes unless it contains any of the	
B2030 B2040 B2060 B2070 B2080	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in se Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and se - Ceramic based file Other wastes contain - Partially refined ce - Waste gypsum waste - Sulphur in solid fe - Limestone from pe - Sodium, potassiue - Carborundum (sidentification) - Broken concrete - Lithium tantalum Spent activated carborundur sidentification - Calcium fluoride slue - Waste gypsum aristonstituents mention	e and nepheline svenite waste  colid from excluding those used in foundry operations  dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses  on-dispersible form:  scrap (metal ceramic composites)  ores  ning principally inorganic constituents:  alcium sulphate produced from flue gas desulphurization (FGD)  allboard or plasterboard arising from the demolition of buildings  orm  roduction of calcium cyanamide (pH<9)  m, calcium chlorides  icon carbide).  & Lillium-niobium containing glass scraps  on resulting from the treatment of potable water and processes of the food a production (note the related entry on list A A4160)  dge  sing from chemical industry processes unless it contains any of the need in Schedule 2 to the extent of concentration limits specified therein	
B2030 B2040 B2060 B2070	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in se Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and se - Ceramic based file Other wastes contain - Partially refined ce - Waste gypsum waste - Sulphur in solid fe - Limestone from pe - Sodium, potassiue - Carborundum (sidentification) - Broken concrete - Lithium tantalum Spent activated carborundury and vitamin Calcium fluoride slue Waste gypsum arise constituents mention Waste anode butts	e and nepheline svenite waste  plid from excluding those used in foundry operations dispersible from: d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: scrap (metal ceramic composites) ores ning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings form roduction of calcium cyanamide (pH<9) m, calcium chlorides licon carbide).  & Lillium-niobium containing glass scraps from resulting from the treatment of potable water and processes of the food a production (note the related entry on list A A4160) dige sing from chemical industry processes unless it contains any of the ned in Schedule 2 to the extent of concentration limits specified therein from steel or aluminium production made of petroleum coke or bitumen	
B2030 B2040 B2060 B2070 B2080	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in se Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and se - Ceramic based file Other wastes contain - Partially refined ce - Waste gypsum waste - Sulphur in solid fe - Limestone from pe - Sodium, potassiue - Carborundum (sii) - Broken concrete - Lithium tantalum Spent activated carbindustry and vitamin Calcium fluoride slu Waste gypsum aris constituents mention Waste anode butts and cleaned to no	e and nepheline svenite waste  blid from excluding those used in foundry operations -dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: crap (metal ceramic composites) ores ning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings orm roduction of calcium cyanamide (pH<9) m, calcium chlorides licon carbide).  & Lillium-niobium containing glass scraps on resulting from the treatment of potable water and processes of the food a production (note the related entry on list A A4160) dge sing from chemical industry processes unless it contains any of the ned in Schedule 2 to the extent of concentration limits specified therein from steel or aluminium production made of petroleum coke or bitumen rmal industry specifications (excluding anode butts from chlor, alkali	
B2030  B2040  B2060  B2070  B2080  B2090	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in se Glass wastes in non Glass Cullets an cathode ray tubes Ceramic wastes in n Cermet wastes and se - Ceramic based file Other wastes contain - Partially refined ce - Waste gypsum waste - Sulphur in solid fe - Limestone from pe - Sodium, potassiue - Carborundum (sii) - Broken concrete - Lithium tantalum Spent activated carbindustry and vitamin Calcium fluoride slu Waste gypsum aris constituents mention Waste anode butts and cleaned to no electrolyses and from	e and nepheline svenite waste  clid from excluding those used in foundry operations clispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses con-dispersible form:  scrap (metal ceramic composites) cres coning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings corm roduction of calcium cyanamide (pH<9) m, calcium chlorides icon carbide).  & Lillium-niobium containing glass scraps con resulting from the treatment of potable water and processes of the food a production (note the related entry on list A A4160) dge contains from chemical industry processes unless it contains any of the med in Schedule 2 to the extent of concentration limits specified therein from steel or aluminium production made of petroleum coke or bitumen rmal industry specifications (excluding anode butts from chlor, alkaling other metallurgical industry)	
B2030 B2040 B2060 B2070 B2080	- Natural graphite - Slate wastes - Mica wastes - Leucite, nepheline - Feldspar waste - Fluorspar waste - Silica wastes in second of the secon	e and nepheline svenite waste  blid from excluding those used in foundry operations -dispersible from:  d other wastes and scrap of glass except activated glass Gullets from and other activated glasses on-dispersible form: crap (metal ceramic composites) ores ning principally inorganic constituents: alcium sulphate produced from flue gas desulphurization (FGD) allboard or plasterboard arising from the demolition of buildings orm roduction of calcium cyanamide (pH<9) m, calcium chlorides licon carbide).  & Lillium-niobium containing glass scraps on resulting from the treatment of potable water and processes of the food a production (note the related entry on list A A4160) dge sing from chemical industry processes unless it contains any of the ned in Schedule 2 to the extent of concentration limits specified therein from steel or aluminium production made of petroleum coke or bitumen rmal industry specifications (excluding anode butts from chlor, alkali	

Decal No. | Decariotion of Wester

Basel No.	Description of Wastes
B2110	Bauxite residue ("red mud") (pH moderated to less than 11.5)
B2120	Waste acidic or basic solutions with a pH greater than 2 and less than 11.5, which are not corrosive or otherwise hazardous (note the related entry on list A A4090)
В3	Wastes containing principally organic constituents, which may contain metals and inorganic materials
B3010	Solid plastic waste
	The following plastic or mixed plastic waste, provided they are not mixed with other wastes and are prepared to a specification:
	- Scrap plastic of non-halogenated polymers and copolymers, including but not limited to the following:
	Ethylene
	Styrene
	Polypropylene
	polyethylene terephthalate
	Acrlonitrile
	Butadiene
	Polyacetals
	Polyamides
	polybutylene tere-phthalate Polycarbonates
	Polyethers
	polyphenylene sulphides
	acrylic polymers
	alkanes C 10-C 13 plasticiser)
	polyurethane (not containing CFC's)
	Polysiloxanes
	polymethyl methacrylate
	polyvinyl alcohol
	polyvinyl butyral
	Polyvinyl acetate
	- Cured waste resins or condensation products including the following:
	urea formaldehyde resins
	phenol formaldehyde resins
	melamine formaldehyde resins
	epoxy resins
	alkyd resins
	polyamides
	- The following fluorinated polymer wastes (excluding post-consumer wastes):
	Perfluoroethylene/propylene
	Perfluoroalkoxy alkane
	Metafluoroalkoxy alkane
	polyvinyl fluoride polyvinylidenefluoride
B3020	Paper, paperboard and paper product wastes****
D3020	
	The following materials, provided they are not mixed with hazardous wastes: Waste and scrap of paper or paperboard of:
	<ul> <li>unbleached paper or paperboard or of corrugated paper or paperboard</li> <li>other paper or paperboard, made mainly of bleached chemical pulp, not coloured in the mass</li> </ul>
	<ul> <li>paper or paperboard made mainly of mechanical pulp (for example, newspapers, journals and similar printed matter)</li> </ul>
D0100	• other, including but not limited to 1) laminated paperboard 2) unsorted scrap.
B3130	Waste polymer ethers and waste non-hazardous monomer ethers incapable of forming peroxides
B3140	Waste pneumatic tyres, excluding those which do not lead to resource recovery, recycling, reclamation or direct reuse
B4	Wastes which may contain either inorganic or organic constituents
B4010	Wastes consisting mainly of water-based/latex paints, inks and hardened varnishes not
	containing organic' solvents, heavy metals or biocides to an extent to render them hazardous
P4020	(note the related entry on list A A4070)  Wester from production formulation and use of regine later plasticizers glues / adhesives
B4020	Wastes from production, formulation and use of resins, latex, plasticizers, glues/ adhesives, not listed on list A, free of solvents and other contaminants to an extent that they do not
	exhibit Part C of Schedule 3 characteristics

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Basel No.	Description of Wastes
B4030	Used single-use cameras, with batteries not included on list A

- \* This List is based on Annex. IX of the Basel Convention on Transboundary Movement of Hazardous Wastes and comprises of wastes not characterized as hazardous under Article-I of the Basel Convention.
- \*\* Import permitted in the country without any license or restriction.
- \*\*\* Import permitted in the country for recycling/reprocessing by units registered with MoEF/CPCB and having DGFT license.
- \*\*\*\* Import permitted in the country by the actual users with MoEF permission and DGFT license.

All other wastes listed in this Schedule-3 (Par-B) having no 'Star/s' (\*...) can only be imported in to the country with the permission of MoEF.

#### Note:

- (1) Copper dross containing copper greater than 65% and lead and cadmium equal to or less than 1.25% and 0.1% respectively; spent cleaned metal catalyst containing copper; and Copper reverts, cake and residues containing lead and cadmium equal to or less than 1.25% and 0.1% respectively are allowed for import without DGFT licence to units (actual users) registered with MoEF upto an annual quantity limit indicated in the Registration letter. Copper reverts, cake and residues containing lead and cadmium greater than 1.25% and 0.1% respectively are under restricted category for which import is permitted only against DGFT licence for the purpose of processing or reuse by units registered with MoEF (actual users).
- (2) Zinc ash/skimmings in dispersible form containing zinc more than 65% and lead and cadmium equal to or less than 1.25% and 0.1% respectively and spent cleaned metal catalyst containing zinc are allowed for import without DGFT licence to units registered with MoEF (actual users) upto an annual quantity limit indicated in Registration Letter. Zinc ash and skimmings containing less than 65% zinc and lead and cadmium equal to or more than 1.25% and 0.1% respectively and hard zinc spelter and brass dross containing lead greater than 1.25% are under restricted category for which import is permitted against DGFT licence and only for purpose of processing or reuse by units registered with MoEF (actual users).

# PART C List of Hazardous Characteristics

#### Code Characteristic

#### H 1 Explosive

An explosive substance or waste is a solid or liquid substance or waste (or mixture of substances or wastes) which is in itself capable by chemical reaction of producing gas at such a temperature and pressure and at such speed as to cause damage to the surroundings (UN Class 1; HI)

#### H 3 Flammable Liquids

The word "flammable" has the same meaning as "inflammable". Flammable liquids are liquids, or mixtures of liquids, or liquids containing solids in solution or suspension (for example, paints, varnishes, lacquers, etc. but not including substances or wastes otherwise classified on account of their dangerous characteristics) which give off a flammable vapour at temperatures of not more than 60.5°C, closed-cup test, or not more than 65.5°C, open-cup test. (Since the results of open-cup tests and of closed-cup tests are not strictly comparable and even individual results by the same test are often variable, regulations varying from the above figures to make allowance for such differences would be within the spirit of this definition).

#### H 4.1 Flammable Solids

Solids, or waste solids, other than those classed as explosives, which under conditions encountered in transport are readily combustible, or may cause or contribute to fire through friction.

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#### Code Characteristic

#### H 4.2. Substances or wastes liable to spontaneous combustion

Substances or wastes which are liable to spontaneous heating under normal conditions encountered in transport, or to heating up on contact with air, and being then liable to catch fire.

#### H 4.3 Substances or wastes which, in contact with water emit flammable gases

Substances or wastes which, by interaction with water, are liable to become spontaneously flammable or to give off flammable gases in dangerous quantities.

#### H 5.1 Oxidizing

Substances or wastes which, while in themselves not necessarily combustible, may, generally by yielding oxygen cause, or contribute to, the combustion of other materials.

#### H 5.2 Organic Peroxides

Organic substances or wastes which contain the bivalent-O-O-structure are thermally unstable substances which may undergo exothermic self-accelerating decomposition.

#### H 6.1 Poisons (Acute)

Substances or wastes liable either to cause death or serious injury or to harm health if swallowed or inhaled or by skin contact.

#### H 6.2 Infectious substances

Substances or wastes containing viable micro organisms or their toxins which are known or suspected to cause disease in animals or humans.

#### H 8 Corrosives

Substances or wastes which, by chemical action, will cause severe damage when in contact with living tissue, or, in the case of leakage, will materially damage, or even destroy, other goods or the means of transport; they may also cause other hazards.

#### H 10 Liberation of toxic gases in contact with air or water

Substances or wastes which, by interaction with air or water, are liable to give off toxic gases in dangerous quantities.

### H 11 Toxic (Delayed or chronic)

Substances or wastes which, if they are inhaled or ingested or if they penetrate the skin, may involve delayed or chronic effects, including carcinogenicity).

#### H 12 Ecotoxic

Substances or wastes which if released present or may present immediate or delayed adverse impacts to the environment by means of bioaccumulation and/or toxic effects upon biotic systems.

**H 13 Capable** by any means, after disposal, of yielding another material, e.g., Leachate, which possesses any of the characteristics listed above.

#### Schedule IV

[(See rules), 8 (1) and 9]

#### List of Hazardous Wastes requiring Registration for Recycling/Reprocessing

S1.No.	Wastes
1	Brass Dross
2	Copper Dross
3	Copper Oxide mill scale
4	Copper reverts, cake and residue
5	Waste Copper and copper alloys in dispersible form.

Sl.No.	Wastes
6	Slags from copper processing for further processing or refining
7	Insulated Copper Wire Scrap/copper with PVC sheathing including ISRI-code material namely "Druid"
8	Jelly filled copper cables
9	Spent cleared metal catalyst containing copper
10	Spent catalyst containing nickel, cadmium, zinc, copper, arsenic, vanadium and cobalt
11	Zinc Dross-Hot dip Galvanizers SLAB
12	Zinc Dross-Bottom Dross
13	Zinc ash/skimmings arising from galvanizing and die casting operations
14	Zinc ash/skimming/other zinc bearing wastes arising from smelting and refining
15	Zinc ash and residues including zinc alloy residues in dispersible form
16	Spent cleared metal catalyst containing zinc
17	Lead acid battery plates and other lead scrap/ashes/residues not covered under Batteries (Management and Handling) Rules, 2001.
	[*Battery scrap, namely: Lead battery plates covered by ISRI, Code word "Rails" Battery lugs covered by ISRI, Code word "Rakes". Scrap drained/dry while Intact, lead batteries covered by ISRI, Code word "Rains".
18	Components of waste electrical and electronic assembles comprising accumulators and other batteries included on list A, mercury-switches, activated glass cutlets from cathode-ray tubes and other activated glass and PCB-capacitors, or any other component contaminated with Schedule 2 constituent (e.g. cadmium, mercury, lead, polychlorinated biphenyl) to an extent that they exhibit hazard characteristics indicated in part C of this Schedule.
19	Paint and ink Sludge/residues
20	Used Oil and Waste Oil - As per specifications prescribed from time to time.

### Schedule V

[See rule 3 (ze)and (zf)] PART A

### Specifications of used oil suitable for reprocessing/recycling

S.No.	Parameter	Maximum permissible Limits					
(1)	(2)	(3)					
1.	Polychlorinated biphenyls (PCBs)	<2 ppm *					
2.	Lead	100 ppm					
3.	Arsenic	5 ppm					
4.	Cadmium+Chromium+Nickel	500 ppm					
5.	Polyaromatic hydrocarbons (PAH)	6%					

# PART B Specifications of fuel derived from Waste Oil

S.No.	Parameter	Maximum permissible Limits					
(1)	(2)	(3)					
1.	Sediment	0.25 %					
2.	Lead	100 ppm					
3.	Arsenic	5 ppm					
4.	Cadmium+Chromium+Nickel	500 ppm					
5.	Polyaromatic hydrocarbons (PAH)	6%					
6.	Total halogens	4000 ppm					
7.	Polychlorinated biphenyls (PCBs)	<2 ppm*					
8.	Sulfur	4.5 %					
9.	Water Content	1 %					

The detection limit is 2 ppm by Gas Liquid Chromatography (GLC) using Electron Capture detector (ECD)

### Schedule VI

[See rule 13(4)]

### **Hazardous Wastes Prohibited for Import and Export**

S.No.	Basel No	Description of Hazardous Wastes
1.	A1010	Mercury bearing wastes
2.	A1030	Waste having Mercury: Mercury Compounds as constituents or contaminants

S.No.	Basel No	Description of Hazardous Wastes
3.	A1010	Beryllium bearing wastes
4.	A1020	Waste having Beryllium: Beryllium Compounds as constituents or contaminants
5.	A1010	Arsenic bearing wastes
6.	A1030	Waste having Arsenic: Arsenic compounds as constituents or contaminants
7.	A1010	Selenium bearing wastes
8.	A1020	Waste having Selenium; Selenium Compounds as constituents or contaminants
9.	A1010	Thallium bearing wastes
10.	A1030	Waste having Thallium; Thallium Compounds as constituents or contaminants
11.	A1040	Hexavalent Chromium Compounds bearing wastes
12.	A1140	Wastes Cupric Chloride and Copper Cyanide Catalysts bearing wastes
13.	A1190	Waste metal cables coated or insulated with plastics containing or contaminated with coal tar, PCB", lead, cadmium, other organohalogen compounds or other constituents as mentioned in schedule 2 to the extent of concentration limits specified therein.
14.	A2020	Waste inorganic fluorine compounds in the form of liquids or sludge but excluding calcium fluoride sludge
15.	A2040	Waste gypsum arising from chemical industry processes if it contains any of the constituents mentioned in Schedule 2 to the extent of concentration limits specified therein
16.	A2050	Waste Asbestos (Dust and Fibres)
17.	A3030	Wastes that consist of or are contaminated with leaded anti-knock compound sludge or leaded petrol (gasoline) sludges.
18.	A3040	Waste Thermal (heat transfer) fluids
19.	A3060	Waste Nitrocellulose
20.	A3090	Waste Leather dust, ash, sludges or flours when containing hexavalent chromium compounds or biocides
21.	A3100	Waste paring and other wastes of leather or of composition leather not suitable for the manufacture of leather articles, containing hexavalent chromium compounds and biocides
22.	A3110	Fellmongery wastes containing hexavalent chromium compounds or biocides or infectious substances
23.	A3150	Halogenated organic solvents
24.	A3180	Waste, Substances and articles containing, consisting of or contaminated with polychlorinated biphenyles (PCB) and/or polychlorinated terphenyls, (PCT) and/or polychlorinated naphthalenes (PCN) and/or polybrominated biphyenyles (PBB) or any other polybrominated analogues of these compounds
25.	A3190	Waste tarry residues (excluding asphalt cements) arising from refining, distillation and pyrolitic treatment of organic materials)
26.	A4020	Clinical and related wastes; that is wastes arising from medical, nursing, dental, veterinary, or similar practices and wastes generated in hospital or other facilities during the investigation or treatment of patients, or research projects.
27.	A4030	Waste from the production, formulation and use of biocides and phyto- pharmaceuticals, including waste pesticides and hebicides which are off-specification, out-dated, and/or unfit for their originally intended use.
28.	A4050	Waste that contain, consist of, or are contaminated with any of the following; Inorganic cyanides, excepting precious metal bearing residues in solid form containing traces of inorganic cyanides. Organic cyanides
29.	A4060	Waste oil/water, hydrocarbons/water mixtures, emulsions
30.	A41 10	Wastes that contain, consist of or are contaminated with any of the following:  • Any congenor of polychlorinated dibenzofuran  • Any congenor of polychlorinated dibenzo-dioxin.
L	1	1 2 October of Land accounts and account.

# **Schedule VII** [See rule 23]

### List of Authorities and Corresponding Duties

S.No.	Authority	Co	Corresponding Duties					
1.	Ministry of Environment and	i.	Identification of hazardous wastes					
	Forests under the Environment	ii.	Permission to exporters of hazardous wastes					
	(Protection) Act, 1986	iii.	Permission to importers of hazardous wastes					
		iv.	Permission for transit of hazardous wastes through India					
		v.	Sponsoring of training and Awareness programme on					
			Hazardous Waste Management related activities.					

S.No.	Authority	Corresponding Duties				
2.	Central Pollution Control Board	i.	Co-ordination of activities of State Pollution control			
	constituted under the Water		Boards/Committees			
	(Prevention and Control of	ii.	Conduct training courses for authorities dealing with			
	Pollution) Act, 1974		management of hazardous wastes			
		iii.	Recommend standards and specifications for treatment			
			and disposal of wastes and leachates Recommend			
			procedures for characterization of hazardous wastes.			
		iv.	Sector specific documentation to identify waste for			
			inclusion in Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008.			
		v.	Prepare guidelines to prevent/reduce/minimize the			
			generation and handling of hazardous wastes			
		vi.	Registration and renewal of registration of Recyclers/Re-			
			processors			
		vii.	Any other function under Rules delegated by the			
		, 11,	Ministry of Environment & Forests.			
3.	State Government/Union	i.	Identification of site(s) for common Hazardous Waste			
0.	Territory Government/		Treatment Storage and Disposal Facility (TSDF)			
	Administration	ii.	Assess EIA reports and convey the decision of approval			
			of site or otherwise			
		iii.	Acquire the site or inform operator of facility or occupier			
		1111	or association of occupiers to acquire the site			
		iv.	Notification of sites			
		v.	Publish periodically an inventory of all disposal sites in			
		**	the State/Union Territory			
4.	State Pollution Control Boards	i.	Inventorisation of hazardous wastes			
''	or Pollution Control Committees	ii.	Grant and renewal of authorization			
	constituted under	iii.	Monitoring of compliance of various provisions and			
	the Water (Prevention and		conditions of authorization including conditions of			
	Control of Pollution) Act, 1974		permission for issued by MoEF exports and imports			
	, , , , ,	iv.	Examining the applications for imports submitted by the			
			importers and forwarding the same to Ministry of			
			Environment and Forests			
		v.	Implementation of programmes to prevent/reduce/			
			minimize the generation of hazardous wastes			
		vi.	Action against violations of Hazardous Wastes			
			(Management, Handling and Transboundary Movement)			
			Rules, 2008			
		vii.	Any other function under these Rules assigned by MoEF			
			from time to time.			
5.	Directorate General of Foreign	i.	Grant of licence for import of hazardous wastes			
	Trade constituted under the	ii.	Refusal of licence for hazardous wastes prohibited for			
	Foreign Trade (Development and		imports and export			
	Regulation) Act, 1992	<u> </u>				
6.	Port Authority under Indian Ports	i.	Verify the documents			
	Act, 1908 (15 of 1908) and	ii.	Inform the Ministry of Environment and Forests of any			
	Customs Authority under		illegal traffic			
	the Customs Act, 1962	iii.	Analyse wastes permitted for imports and exports			
	(52 of 1962)	iv.	Train officials on the provisions of the (Management,			
			Handling and Transboundary Movement) Rules, 2008 and			
			in the analysis of hazardous wastes			
		v.	Take action against exporter/importer for violations			
			under the Indian Ports Act, 1908/Customs Act, 1962			
	1	1	/2			

**FORM 1** [See rules 5(3) and (7)]

#### APPLICATION FOR OBTAINING AUTHORISTION FOR COLLECTION/RECEPTION/TREATMENT/TRANSPORT/STORAGE/ **DISPSOAL OF HAZARDOUS WASTE\***

From	· · · · · · · · · · · · · · · · · · ·	
Го		
	The Member Secretary,	
Sir,		
	I/We hereby apply for authorisation/renewal of authorisation under sub-rule (3) or rdous Waste (Management, Handling and Transboundary Movement) Rules, 2008 for collement/transport/storage/disposal of hazardous wastes.	
	For Office Use Only	
5.	Code No.	
6.	Whether the unit is situated in a critically polluted area as identified by Ministry of Er Forests;	vironment and
	To be filled in by Applicant	
	Part A: General	
3.	<ul><li>(a) Name and address of the unit and location of activity:</li><li>(b) Authorisation required for ( Please tick mark appropriate activity/activities:</li></ul>	
	(i) collection (ii) reception (iii) treatment (iv) transport (v) storage (vi) disposal	
* del	(c) In case of renewal of authorisation previous authorisation number and date ete whichever is not applicable	
4.	(a) Whether the unit is generating hazardous waste as defined in these Rules (b) If so the type and quantity of wastes (in Tonnes/KL)	:
5.	<ul><li>(a) Total capital invested on the project (in Rupees)</li><li>(b) Year of commencement of production</li><li>(c) Whether the industry works general/2 shifts/round the clock</li></ul>	: : :
6.	(a) List and quantum of products and by-products (in Tonnes/KL) (b) List and quantum of raw material used (in Tonnes/KL)	:
7.	Furnish a flow diagram of manufacturing process showing input and output in term waste generated including for captive power generation and demineralised water.	ns of products,
	Part B: Hazardous Waste	
8.	Hazardous Wastes:	
	<ul><li>(a) Type of hazardous wastes generated as defined under these Rules</li><li>(b) Quantum of hazardous waste generated</li><li>(c) Sources and waste characteristics</li><li>indicate wastes amenable to recycling, re-processing and reuse)</li><li>(d) Mode of storage within the plant, method of disposal and capacity: (provide details).</li></ul>	: : : (Also
9.	Hazardous Wastes generated as per these Rules from storage of hazardous chemic under the Manufacture, Storage and Import of Hazardous Chemicals Rules, 1989	cals as defined

10.	Detai	led proposal of the facility (to be attached) to include	:								
	(i)	Location of site (provide map)	:								
	(ii)	Name of waste processing technology	:								
	(iii)	Details of processing technology	:								
	(iv)	Type and Quantity of waste to be processed per day	:								
	(v)	Site clearance (from local authority, if any)	:								
	(vi)	Utilization programme for waste processed (Product Utilization)	:								
	(vii)	Method of disposal (details in brief be given)	:								
	(viii)	Quantity of waste to be disposed per day	:								
	(ix)	Nature and composition of waste	:								
	(x)	Methodology and operational details of land filling/incineration	:								
	(xi)	Measures to be taken for prevention and control of environmental pollution including treatment of leachate	:								
	(xii)	Investment on Project and expected returns	:								
	(xiii)	Measures to be taken for safety of workers working in the plant	:								
Plac Dat		· · · · · · · · · · · · · · · · · · ·									
		FORM 2									
		[See rule 5(4)]									
		ORM FOR GRANT/RENEWAL OF AUTHORISATION BY SPCB/PCC FOR OCC CESSORS, REUSERS AND OPERATORS OF FACILITIES FOR COLLECTION TREATMENT, STORAGE, TRANSPORT, AND DISPOSAL OF HAZARDOUS W	, RECEPTION,								
1.	Numbe	r of authorisation and date of issue :									
2.	collection	of is hereby granted an authorisation to oper on, reception, treatment, storage, transport and disposal of hazardous wasted at	ate a facility for e on the premises								
3.		thorisation granted to operate a facility for generation, collection, reception, to ort and disposal of hazardous wastes.	reatment, storage,								
4.	The aut	chorisation shall be in force for a period of									
5.		thorisation is subject to the conditions stated below and the such conditions a ules for the time being in force under the Environment (Protection) Act, 1986.	s may be specified								
	Date :	Signature of Issuing Authority Designation and Seal									

Part C: Treatment, Storage and Disposal Facility

#### Terms and conditions of authorisation

- 1. The authorisation shall comply with the provisions of the Environment (Protection) Act, 1986, and the rules made there under.
- 2. The authorisation or its renewal shall be produced for inspection at the request of an officer authorised by the SPCB/PCC.
- 3. The person authorised shall not lent, lend, sell, transfer or otherwise transport the hazardous wastes without obtaining prior permission of the SPCB/PCC.
- 4. Any unauthorized change in personnel, equipment as working conditions as mentioned in the application by the person authorised shall constitute a breach of his authorisation.
- 5. It is the duty of the authorised person to take prior permission of the SPCB/PCC to close down the facility.
- 6. An application for the renewal of an authorisation shall be made as laid down under these Rules.
- 7. Any other conditions for compliance as per the Guidelines issued by the MoEF or CPCB.

### FORM 3

[See rule 5 (6), and 22 (1)]

# FORMAT FOR MAINTAINING RECORDS OF HAZARDOUS WASTES BY THE OCCUPIER OR OPERATOR OF A FACILITY

Name and address of the occupier or operator of a facility  Date of issuance of authorisation and its reference number					mher							
									•			
Description of hazardous waste							:					
Physical descript	form with		Chemical form				Total volume (m <sup>3</sup> ) and weight (in kg.)					
Descripti	on of storage	and treati	ment o	f hazard	ous wa	ste		:				
Date Method of storwastes		storage o	f haza	rdous	Date	Met	hod of t	reatmen	t of h	azar	ardous wastes	
	f transportati								- ·			
			for transportation tra			Mode of transpor of dispo				-	rtation	
Details of	f disposal of	hazardous	waste					:				
Date of disposal hazardous constituents in the final waste form			ıe	Site of disposal (identify the location on the relevant layout drawing for reference)			disposal i		inv	Persons nvolved in lisposal		
Data on o	environmenta	al surveilla	nce	ı				:		1		
Date of	Analysis	Analysis of ground water			Analysis of soil samples			Analysis of air		ir	Analysis of an	
measure- ment	Location of	*		Locatio		Depth of	Data	Location		Data	other sample (give details	
	aamalina	sampling		sampl	ıng	sampling		samplin	ıg			
	sampling			•	Ŭ							
	sampling											

8. Details of hazardous waste sold/auctioned to the recyclers or reprocessors or re-users:

hazardous waste **Hazardous Wastes** received Quantity of generation quantity generated minimization waste and percentage activity generated Date: Name and signature of the head of facility Place: FORM 4 [See rules 5(6) and 22 (2)] FORM FOR FILING ANNUAL RETURNS BY THE OCCUPIER OR OPERATOR OF FACILITY [To be submitted by occupier/operator of disposal facility to State Pollution Control Board/Pollution Control Committee by 30<sup>th</sup> June of every year for the preceding period April to March Name and address of the generator/operator of facility 2. Name of the authorised person and : full address with telephone and fax number 3. Description of hazardous waste Physical form with Chemical form description 4. Quantity of hazardous wastes Type of hazardous waste Quantity (in Tonnes/KL (in MTA) (a) (b) (c) ...... 5. Description of Storage 6. Description of Treatment Details of transportation Mode of Mode of Date of Name & : address of packing transportation transportation consignee 8. Details of disposal of hazardous waste Name & Mode of Mode of Date of address of packing transportation transportation consignee 9. Quantity of useful materials sent back Name and type of Quantity in to the manufacturers\* and others# material sent back to Tonnes/KL Manufacturers Others# \* delete whichever is not applicable # enclose list of other agencies. Date : ..... Signature: Place: ..... Designation: FORM 5 [See rule 8(1)] FORM OF APPLICATION FOR GRANT/RENEWAL OF REGISTRATION OF INDUSTRIAL UNITS POSSESSING ENVIRONMENTALLY SOUND MANAGEMENT FACILITIES FOR REPROCESSING/RECYCLING

{To be submitted to the Central Pollution Control Board in triplicate by the Reprocessor/Recycler}

Details of hazardous waste reused or recycled

Details of

**Materials** 

Final

Net reduction in waste

**Total Quantity of** 

Date

1.

Name and Address of the unit:

2.	Name of the occupier or owner of the unit with designation, Tel/Fax:								
3.	Date of commissioning of the unit								
4.	No. of workers (including contract labourers)								
5.	Consent Validity	a)	Water	(Preven	tion &	Control	of F	Pollution) Act, 19	74
J.	Consent valuaty	va	lid up t Air (Pr	o evention	 ı & Con	 itrol of Po		ion) Act, 1981 va	
6.	Duo des et Mourefo etremo di descripio e the locat	uŗ		)					1
6.	Product Manufactured during the last three years (Tonnes/Year		Year	Nam Prod	e of the			ntity in Metric nes of KL	
				a) b)					
				c)					1
7.	Raw material consumption during last three years (Tonnesi year)		Year	Nam Mate cons	e of the erial sumed			ntity in Metric nes of KL	
				a) b)					1
				c)					
8.	Manufacturing Process			tach ma	anufact	uring pr	oces	s flow diagram	for
9.	Water Consumption		ch prod dustria			m <sup>3</sup>	/day		
	-	Do	omestic			m <sup>3</sup>			
10. 11.	Water Cess paid up to (date) Waste water generation as per		duetria	l/Domes	etic				
11.	consentm <sup>3</sup> /day					of last 3	moi	nths)	
12.	Waste water treatment (provide flow		dustria		7 ( 8			/	
	diagram of the treatment scheme)	Do	omestic						
13.	Waste water discharge	Q۱	ıantity	• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •	n	$1^3/ds$	ay	
		Lo	cation.	of troots		······································	 for r	 parameters such	00
		nF	I BOD	COD S	SS O&0	G and an	v ot1	her as stipulated	hv
		th	e SPCB	/PCC (a	ttach de	etails)	y ou	ner as suparatea	IJ
14.	Air Pollution Control  a. Flow diagram for emission control system (s) installed for each process unit, utilities etc.			, (		· · · · · · · · · · · · · · · · · · ·			
	b. Details of facilities provided control of fugitive emission due to material handling, process, utilities etc.								
	c. Fuel consumption		Name	of fuel				ty per	
						Day	7/M	onth:	
			a) b)						1
	d. Stack emission monitoring results		Stack		Emics	sions (for	- SDI	M, SO <sub>2</sub> , No <sub>x</sub>	
	a. Stack omission moments results		attac	=				b etc.) in	
			to:			ulates ir			
	e. Ambient air quality		Amhi	ent air	Paran	neters (S	рм	SO <sub>2</sub> , NO <sub>x</sub> , Pb,	
	o. Thisteric air quanty		quali	t <b>y</b>		ther) in			
			location:						
15.	Hazardous waste management:					<u> </u>			1
	a. Waste generation :		S. No.	Name		Category	y	Quantity (last 3 years)	
			NO.					(last 3 years)	-
									1
	b. Details on collection, treatment								
	and transport :								
	c. Disposal (i) Please attach Details of the disposal								
	facilities								

		· · · · · · · · · · · · · · · · · · ·
	(ii) Please attach analysis report of characterisation of hazardous waste generated (including leachate test if	
	applicable)	
16.	Details of hazardous wastes proposed to be acquired through sale/ negotiation/contract or import as the case may be for use as raw material.	<ol> <li>Name</li> <li>Quantity required per year</li> <li>Waste listing &amp; No. in Annex VIII (List A)/Annex IX (List B) of Basel Convention (BC)</li> <li>Hazard Characterisitic as per Annex III of BC</li> </ol>
17.	Occupational safety and Health aspects	Please provide details of facilities provided
18.	Remarks	
	(i) whether industry has provided adequate pollution control system/ equipment to meet the standards of emission/effluent.	Yes/No
	(ii) whether HW collection and Treatment, Storage and Disposal Facility (TSDF) are operating satisfactorily.	Yes/No
	(iii) Whether conditions exists or likely to exists of the hazardous waste being handled/processed of posing immediate or delayed adverse impacts on the Environment.	Yes/No
	(iv) Whether conditions exists or is likely to exists of the wastes being handled/processed by any means capable of yielding another material eg, leachate which may possess eco-toxicity.	Yes/No
19	Any other Information i) ii) iii)	
20	List of enclosures as per rule	

	Signature :
Date:	Designation:
Place:	

# **FORM 6** [see rules 8 (7)]

# FORM FOR FILING ANNUAL RETURNS AND RECORDS ON RECYCLABLE HAZARDOUS WASTES BY THE RECYCLERS

[To be submitted by recyclers to State Pollution Control Board/Pollution Control Committee by  $30^{\rm th}$  June of every year for the preceding period April to March]

<ol> <li>Name and address of the recycler :</li> <li>Name of the authorized person and full address with telephone and fax number :</li> <li>Installed annual capacity to recycle or dispose the hazardous waste (in MTA) :</li> <li>Quantity hazardous waste (in MTA)</li> <li>Type of Source of Quantity hazardous waste (in MTA)</li> </ol>	Quantity (in
address with telephone and fax number:  3. Installed annual capacity to recycle or dispose the hazardous waste (in MTA):	Duantity (in
3. Installed annual capacity to recycle or dispose the hazardous waste (in MTA):	Duantity (in
dispose the hazardous waste (in MTA) :	Duantity (in
(in MTA):	Quantity (in
	Quantity (in
4. Quantity hazardous waste (in MTA) Type of Source of Q	)uantity (in
	gaaraa (iii
purchased/sold wastes purchase/sold M	/ITA)
5. Quantity of hazardous wastes processed: Type of wastes Quantity	
processed (in MTA)	
6. Quantity and type of material recovered (in Type of material Quantity	
MTA) recovered (in MTA)	

Quantity of useful materials sent back to the Name and type of Quantity in Tonnes/KL generators/manufacturers\* and others# material sent back to Manufactures\* Others# Quantity of hazardous waste generated (in 8. Method of Disposal Type of Quantity MTA) and its disposal methods. wastes (in MTA) \* delete whichever is not applicable # enclose list of other agencies Signature: Date: ..... Designation: Place: ..... FORM 7 [See rule 15 (1) and 16 (1)] APPLICATION FOR IMPORT OR EXPORT OF HAZARDOUS WASTE FOR REPROCESSING/RECYCLING/REUSE From TO BE MAILED BY IMPORTER To The Member Secretary, ......State Pollution Control Board/.....Pollution Control Committee ..... Sir, I/we apply for permission for import of recyclable hazardous wastes. FOR OFFICE USE ONLY 1. Code No. Whether the unit is situated in a critically polluted area as identified by the Ministry of Environment and Forests If yes provide details. TO BE FILLED IN BY APPLICANT Name and Address of the Exporter with telephone number Details of hazardous waste to be exported/imported for recycling/reprocessing/reuse:

S. No.	Particulars of hazardous wastes	Six digit Code No.*	Constituent(s) expected	Quantity MT/KL	Any special handling requirement?

<sup>\* (</sup>Here enter as reference nomenclature, the equivalent six digit code no. from European Waste Catalogue EWC, issued pursuant to the Article 1 (a) of Council Directive 75/442/EEC on waste or its equivalent as the case may be).

- 3. The hazardous waste permitted shall be fully insured for transit as well as for any accidental occurrence and its cleanup operation.
- 4. The exported wastes shall be taken back, if it creates a genuine environmental hazard or shall take all such measures to treat and dispose in an environmentally benign manner upto the satisfaction of concerned SPCB/PCC. All such costs involved in such operation shall be borne by Exporter and/or Importer

Name and Address of the importer with telephone number: 6. Whether authorization obtained : (Enclose the copy). 7. Whether you have received such imported hazardous waste in the past and if yes give details. S. **Description of hazardous** Country of Year Quantity in tones No. wastes Export Whether the importer has (a) Adequate facility to handle imported hazardous waste : (If yes furnish details). (b) Adequate facility to handle the hazardous wastes generated by the use of such imported hazardous wastes : (Provide details) Break-up of the imported wastes The total quantity applied for : ...... Tonnes Out of (a) above, how much quantity after initial in-situ purification, will be available as raw material : ...... Tonnes Out of (b) above, how much quantity will be converted into the useful product or co-product : ...... Tonnes 10. Means of Transport (Road, Rail, inland waterway, sea, air) including country of export, transit and import, also point of entry and exit where these have been designated. 11. Information on special handling requirements including emergency provision in case of accident : (Attach details) 12. Undertaking I hereby solemnly undertake that The full consignment shall be cleared in one lot by arranging authorized transporter under my (i) supervision with due prior intimation to the SPCB/PCC. District Collector and Police Station and the imported waste shall he admitted in an enclosure especially provided in the premises. (ii) The waste permitted shall be fully insured for transit as well as for any accidental occurrence and its clean-up operation. The record of consumption and fate of the imported waste shall be monitored and report sent to the (iii) SPCB/PCC every fortnight. At every step of consumption of 25, 50, 75 and 100% of the imported waste, the situation in the store (iv) shall he shown to the SPCB/PCC at our cost. (v) The hazardous waste which gets generated in our premises by the use of imported hazardous wastes in the form of raw material shall be treated and disposed of and only as per conditions of authorisation. (vi) I agree to bear the cost of export and mitigation of damages if any. I am aware that there are significant penalties for submitting a false certificate/undertaking/ (vii) disobedience of the rules and lawful orders including the possibility of fine and imprisonment. Signature of the Applicant Designation Date: ..... Place: .....

# **FORM 8** [See rules 15 (1) and 16 (1])

### APPLICATION FOR TRANSBOUNDARY MOVEMENT OF HAZARDOUS WASTE

S. No.	Description		Details to be furnished by the Exporter/ Importer
1.	Exporter (Name & Address)		
	;		
	Contact person	:	
	Tel/fax	:	
	Reason for export	:	
2.	Importer/Recycler (Name & Address)		
	:		
	Contact person	:	
	Tel/fax	<u>:</u>	
3.	Application concerning (1)	:	
	Applicants reference number  A. Single/Multiple movement	<u>:</u>	
	B. Recovery/Reprocessing Operation	:	
	C. Pre-authorized recovery/reprocessing facility (1)		
4.	Total intended number of shipments	•	
5.	Estimated quantity <sup>(3)</sup> in Kg/Liters	•	
6.	Intended date(s) or period of time for shipment(s)	•	
7.	Intended carrier(s) (name, address) (2)	•	
	:		
	Contact person: Tel/fax.	:	
8.	Waste generator (s) (Name, address) (2)	•	
٠.	:		
	Contact Person Tel/fax	:	
	Site of generation & Process	:	
9.	Method(s) of recycling (4)	:	
	R Code	:	
	Technology employed	:	
10.	Means of transport <sup>(4)</sup>	:	
11.	Packaging types(s) (4)	:	
12.	(i) Designation and complete chemical composition of waste (attach details)		
	(ii) Special handling requirements		
13.	Physical characteristics (4)	•	
14.	Waste identification code	<u>.</u>	
	Basel No	<u>:</u>	
	OECD No.	<u>:</u>	
	UN No.	:	
	ITC (HS)	:	
	Customs Code (H.S.)	:	
	Other (specify)	:	
15.	OECD classification(1) (attach details)		
	(a) amber/red/other	:	
	(b) Number	:	
16.	Y-Number(4)	:	
17.	H-Number <sup>(4)</sup>	:	
18.	(a) UN identification Number	:	
	(b) UN shipping name	:	
	(c) UN class (4)	:	
	(d) Other	:	
19.	Concerned states, code number of competent authorities,		
	and specific points of entry and exit	:	
	State of export	:	
	States of transit	:	
	State of import	:	

S. No.		Descr	iption					rnished by Importer	
20.	Cus	stoms offices of entry and/or de	parture						_
		Entry:	Departure :						
		<u> </u>	•						
			1						
21.	Exp	oorter's/Generator's declaration	:						
	T o	ertify that the information is	complete and compet to	mr boot					
		owledge. I also certify that Lega							
		igations have been entered into							
		other financial guarantees are							
		nsboundary movement.	or shall be in lorde to	vering the					
		<i>y</i> = 1 = 1 = 1							
	Naı	me: Signati	ıre:						
	Dat	te:							
22.	Nu	mber of annexes attached							
			E BY COMPETENT AUTI	HORITIES					
23.		be completed by competent autl	nority of Import	:					
		ification Received on		:					
		nsit ( Basel)		:					
		Acknowledgement sent on		:					
		Name of Competent authority, S							
24.		nsent to the movement provid	ed by the competent at	ithority of					
	-	untry)		:					
		Consent given on		:					
		Consent expires on		:	(n				
		Specific condition		:	(Yes/I	1) (ov	Please a	ttach)	
<b>505</b>		Name of Competent authority, S	tamp and/or signature	:					
		BY CUSTOMS OFFICES	LOD CHOTOMO OFFICE (	OF FIVIT					
25.		UNTRY OF EXPORT/DISPATCH waste described overleaf has le		JF EIXII					
		mp	en the country on	· ·					
		_		•					
26.	_	nature UNTRY OF IMPORT/DESTINAT	ION	•					
20.		e waste described overleaf has e							
		mp	intered the country on	•					
		nature		•					
27.	_	AMPS OF CUSTOMS OFFICES (	OF TRANSIT COUNTRIES	•	Non	ne of	Entry	Donosturo	_
4	511		. Humbii Coomideo			ne or	Entry	Departure	l
					200	y			l
									l
									l
									l

Notes: (1) Enter X in appropriate box; (2) Attach list if more than one; (3) Attach detailed list of multiple shipment; (4) See following codes

### List of abbreviations used in the Movement Document

RECO	RECOVERY OPERATIONS (S.No.9)				
R 1	Use as a fuel (other than in direct incineration) or other means to generate energy				
R 2	Solvent reclamation/regeneration				
R 3	Recycling/reclamation of organic substances which are not used as solvents				
R 4	Recycling/reclamation of metals and metal compounds				
R 5	Recycling/reclamation of other inorganic materials				
R 6	Regeneration of acids or bases				

R 7 Recovery of components used for pollution abatement
R 8 Recovery of components from catalyst

R 9 Used oil re-refining or other reuses of previously used oil
R 10 Land treatment resulting in benefit to agriculture or ecological improvement
R 11 Uses of residual materials obtained from any of the operations numbered R 1 to 10
R 12 Exchange of wastes for submission to any of the operations numbered R 1 to R 11

R 13 Accumulation of material intended for any operation numbered R 1 to R 12

MEANS OF TRANSPORT (S.No.10)	PACKAGING TYPES (S.No.11)	H NUMBER (S.No.17) AND UN CLASS (S.No.18)				
R= Road T= Train/Rail	1. Drum 2. Wooden barrel	UN Class	H Number	Designation		
S=Sea	3. Jerrican	1	H 1	Explosive		
A= Air	4. Box	3	Н 3	Inflammable liquids		
W=Inland	5. Bag	4.1	H 4.1	Inflammable solids		
Waterways	6. Composite packaging	4.2	Н. 4.2	Constituents or wastes liable to spontaneous combustion		
	7. Pressure receptacle 8. Bulk	4.3	Н 4.3	Constituents or wastes which, in contact with Water emit inflammable gases		
	9. Other (specify)	5.1	H 5.1	Oxidizing		
		5.2	H 5.2	Organic peroxides		
		6.1	H 6.1	Poisonous (acute)		
		6.2	H 6.2	Infectious wastes		
		8	H 8	Corrosives		
		9	H 10	Liberation of toxic gases in contact with air or water		
		9	H 11	Toxic (delayed or chronic)		
		9	H 12	Ecotoxic		
		9	Н 13	Capable, by any means, after disposal of yielding another material e.g. leachate, which Possesses any of the characteristics listed above		

PHYSICAL CHARACTERISTICS (Sl.No.13)	1. Powdery/powder
	2. Solid
	3. Viscous/paste
	4. Sludge
	5. Liquid
	6. Gaseous
	7. Other (specify)

#### FORM 9

[See rules 15 (5) and 16 (5)]

### TRANSBOUNDARY MOVEMENT - MOVEMENT DOCUMENT

S. No.	Description		Details to be furnished by the Exporter/ Importer
1.	(i) Exporter (Name & Address)	:	
	Contact person	:	
	Tel./Fax	:	
	(ii) Waste Generator (name and address) (1)	:	
	Contact person with Tel./Fax	:	
	Site of generation		
			1

S. No.	Description		Details to be furnished by the Exporter/ Importer
2	Importer/recycler (name & address)	:	
	Contact person with Tel./Fax	<u>:</u>	
3.	Corresponding to applicant Ref. No.	:	
	Movement subject to single/multiple.		
4.	Serial number of shipment	:	
5.	(a) 1st Carrier (Name, address)	:	
	Registration number	:	
	Tel/fax	:	
	Identity of Means of Transport (3)	:	
	Date of Transfer	:	
	Signature of Carrier's representative (b) 2 <sup>nd</sup> Carrier (name, address)	· · ·	
	Registration number		
	Tel/fax	•	
	Identity of Means of Transport (3)	:	
	Date of Transfer	:	
	Signature of Carrier's representative	:	
	(c) Last Carrier (name, address) (4)	:	
	Registration number	:	
	Tel/fax	:	
	Identity of Means of Transport (3)	:	
	Date of Transfer	:	
	Signature of Carrier's representative	:	
6.	Disposer (name, address)	:	
	Contact person	:	
	Actual site of disposal		_
7	Tel/fax	:	
7.	Method(s) of recovery	<u> </u>	
	R code Technology employed* *(Attach details if necessary).	:	
8.	Designation and chemical composition of the waste	:	
9.	Physical characteristics (3)	•	
10.	Actual quantity Kg/litre		
11.	Waste identification code		
11.	Basel No.	:	
	OECD No.	· · ·	
	UN No.	<u> </u>	
	ITC (HS)	:	
	Customs Code (U.S.)	:	
	Other (specify)	:	
12.	OECD Classification (2)		
	(a)amber/red/other [attach details]		
	(b)number		
13.	Packing Type (3)	:	
	Number		
14.	UN Classification	:	
	UN shipping name	:	
	UN identification No.	:	
	UN Class (3)	:	
	H Number (3)	:	
	Y Number	:	
15.	Special handling requirements	:	
16.	Actual date of shipment	:	/3

S. Description

No.

Exporter's declaration:
I certify that the information in Sl. No.1 of 16 above is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantees are in force covering the trausboundary movement and that all necessary authorizations have been received from the competent authorities of the States concerned.

TO B	BE COMPLETED BY IMPORTER/RECYCLER
18.	Shipment received by Importer/Recycler
	Quantity received Kg/litres
	Date:
	Name: Signature
19.	Shipment received at recycler :
	Quantity received at recycler: Kg/litres
	Quantity received and accepted: Kg/litres
	Date:
	Name: Signature
20.	Approximate date of recycling :
21.	Method of recycling :
22.	I certify that the Recycling of the wastes described above will
	be completed as per HW (M, H and TM) Rules
	Signature:
	Date:
23.	SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT: (attach details)

Notes:- (1) Attach list, if more than one; (2) Enter X in appropriate box; (3) See codes on the reverse (x) Immediately contact Competent Authority; (4) if more than three carriers, attach information as required in Sl.No.5.

#### List of abbreviations used in the Movement Document

Signature:

Date: Name:

RECOV	ERY OPERATIONS (S.No.7)
R 1	Use as a fuel (other than in direct incineration) or other means to generate energy
R 2	Solvent reclamation/regeneration
R 3	Recycling/reclamation of organic substances which are not used as solvents
R 4	Recycling/reclamation of metals and metal compounds
R 5	Recycling/reclamation of other inorganic materials
R 6	Regeneration of acids or bases
R 7	Recovery of components used for pollution abatement
R 8	Recovery of components from catalysts
R 9	Used oil re-refining or other reuses of previously used oil
R 10	Land treatment resulting in benefit to agriculture or ecological improvement
R 11	Uses of residual materials obtained from any of the operations numbered R 1 to 10
R 12	Exchange of wastes for submission to any of the operations numbered R 1 to R 11
R 13	Accumulation of material intended for any operation numbered R 1 to R 12

MEANS OF TRANSPORT (S.No.5)	PACKAGING TYPES (S.No.13)	H NUMBER (S.No.14) AND UN CLASS (S.No.14)				
R= Road	1. Drum	UN	H	Designation		
T= Train/Rail	2. Wooden barrel	Class	Number			
S=Sea	3. Jerrican	1	H 1	Explosive		
A= Air	4. Box	3	Н 3	Inflammable liquids		
W=Inland	5. Bag	4.1	H 4.1	Inflammable solids		
Waterways	_	4.2	Н. 4.2	Constituents or wastes liable		
	6. Composite			to spontaneous combustion		
	packaging	4.3	Н 4.3	Constituents or wastes which,		
	7. Pressure			in contact with Water emit		
	receptacle	7		inflammable gases		
	8. Bulk					

**MEANS OF PACKAGING** H NUMBER (S.No.14) AND UN CLASS (S.No.14) **TRANSPORT TYPES** (S.No.5)(S.No.13)9. Other (specify) H 5.1 Oxidizing 5.1 5.2 H 5.2 Organic peroxides 6.1 H 6.1 Poisonous (acute) 6.2 H 6.2 Infectious wastes 8 н 8 Corrosives 9 H 10 Liberation of toxic gases in contact with air or water H 11 Toxic (delayed or chronic) 9 9 H 12 Ecotoxic H 13 Capable, by any means, after disposal of yielding another material leachate, which Possesses any of the characteristics

PHYSICAL CHARACTERISTICS (SI.No.09)	1. Powdery/powder 2. Solid 3. Viscous/paste 4. Sludge 5. Liquid
	6. Gaseous 7. Other (specify)

listed above

#### FORM 10

[See rule 15 (7) and 16 (7)]

# FORMAT FOR MAINTAINING RECORDS OF HAZARDOUS WASTE IMPORTED AND EXPORTED

1. Name and address of the importer/exporter :

2. Date and reference number of issuance of permission to import/export hazardous wastes

3. Description of hazardous waste :

S. No.	Dates of import/ export and relevant consignment numbers	Origin/ destination of waste	Total volume and weight (in kilograms)	Physical form	Chemical form	Test report	

4. Description of storage, treatment and reuse of hazardous waste

S. No.	Dates of import/ export and relevant consignment numbers	Total volume and weight (in kilograms)	Test report	Methodof Storage	Methodof treatment and reuse (give details)

Y Number (S.No.13) refer to categories of waste listed in Annexure I and II of the Basel Convention as well as more detailed information can be found in an instruction manual available from the Secretariat of the Basel Convention

#### FORM 11

[See rule 20(2)]

#### TRANSPORT EMERGENCY (TERM) CARD

[To be carried by the transporter during transportation of hazardous wastes, provided by the Occupier or Operator of a Facility]

Characteristics of hazardous wastes

No.	Type of Waste	Physical Properties/	Chemical Constituents	Exposure Hazards	First Aid Requirements

2. Procedure to be followed in case of fire

3. Procedure to be followed in case of spillage/accident/explosion :

4. For expert services, please contact :

i) Name & Address :

ii) Telephone No.

(Name and Signature of Occupier/authorized representative)

#### **FORM 12**

[See rule 20(2)]

#### MARKING OF HAZARDOUS WASTE CONTAINER

HAZARDOUS WASTE \*

Handle with Care

Waste Category No	Compatible Group				
Total Quantity	Date of Storage				
Contents and State of the Waste :					
Sender's Name & Address	Receiver's Name & Address				
Phone	Phone				
E-mail	E-mail				
Tel. & Fax No	Tel. & Fax No				
Contact Person	Contact Person				
In case of emergency please contact					

#### Note:

- 1. Background colour of lab I <u>fluorescent yellow.</u>
- 2. The words 'HAZARDOUS WASTES' & 'HANDLE WITH CARE' to be prominent and written in red in Hindi, English and in Vernacular Language
- 3. Label should be of non-washable material.

<sup>\*</sup> delete which ever is not applicable

**FORM 13** [See rule 21 (1)]

### HAZARDOUS WASTE MANIFEST

1.	Occupier's Name & Mailing Address (including Phone No.)	:								
2.	Occupier's Registration No.	:								
3.	Manifest Document No. :									
4.	Transporter's Name & Address (including Phone No.)	:								
5.	Type of Vehicle	:	(Truc	k/Ta	nker/Spec	ial Vehicl	e)			
6.	Transporter's Registration No.	:								
7.	Vehicle Registration No.	:								
8.	Designated Facility Name & Site Address	:								
9.	Facility's Registration No.	:								
10.	Facility's Phone	:								
11.	Waste Description	:								
12.	Total Quantity	:				m³ or M	Т			
13.	Consistency	:	(Solid/Semi-Solid/Sludge/Oily/Tarry/Slurry)							
14.	Transport Description of Wastes	:			-					
15.	Containers	:	Num	nber		Туре				
16.	Total Quantity	:				m³ or M	T			
17.	Unit Wt/Vol.	:				m <sup>3</sup> oı	MT			
18.	Waste Category Number	:								
19.	Special Handling Instructions & Additional Information	1 :								
20.	OCCUPIER'S CERTIFICATE	:	consi above categ in all	gnme e by orise resp acco	declare ent are fu proper d, packed, ects in pro rding to ap s.	lly and shippin marked, per cond	accura g na and l ition f	ime abeleo for tra	descr and d, and	are d are rt by
	Typed Name & Stamp : Signature :		Mon		Day	Y	ear	1		,
21.	Transporter Acknowledgement of receipt o Wastes	f							<u> </u>	
	Typed Name & Stamp : Signature :		Mon	th	Day	Y	ear			i
22.	Discrepancy Note Space									
0.2	Facility Own on an Orangton's Conticont	of Doca!	+ cf 17	· · · · · · · · · · · · · · · ·	0110 117					
23.	Facility Owner or Operator's Certification of Typed Name & Stamp: Signature:	n keceir	ot of Ha Mon		Day		ear			
	,				-2,					•

#### FORM 14 (See rule 24)

### FORMAT OF ACCIDENT REPORT

[To be submitted by the occupier or operator of a facility and the transporter to the SPCB/PCC]

Dat	e:		Designation]
[Pla	ace:		Signature:
7.	The steps taken to prevent the recurrence of such an accident	:	
6.	The steps taken to alleviate the effects of accidents	:	
5.	The emergency measures taken	:	
4.	The date for assessing the effects of the accident on health or the environment	:	
3.	The hazardous waste involvement in accident	:	
2.	Sequence of events leading to accident	:	
1.	The date and time of the accident	:	

### FORM 15

[see rule 26 (1) and (2)]

# APPLICATION FOR FILING APPEAL AGAINST THE ORDER PASSED BY CPCB/SPCB/PCC OF THE UNION TERRITORY

	Date:	J	address
5.	List of enclosures other than the order referred in para 2 against which the appeal is being filed	:	
4.	Relief sought for	:	
3.	Ground on which the appeal is being made	:	
2.	Number, date of order and address of the authority to which passed the order, against which appeal is being made	:	(certified copy of the order be attached).
1.	Name and address of the person making the appeal	:	

Sd/-(R.K. Vaish) Jt. Secy.

S.O.2265(E) F.No.23-17/2006-HSMB Issued by: Ministry of Environment and Forests New Delhi